State Mental Health Authorities’ Response to Terrorism

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Introduction

The National Association of State Mental Health Program Directors (NASMHPD) Medical Directors Council developed its ninth technical paper through a series of pre-meeting conferences calls and extensive discussions at a workgroup summit held May 5-6, 2003 in Alexandria, VA. Participants included State Mental Health Authority (SMHA) medical directors, commissioners, public health leaders, consumers, disaster mental health experts, and consultants with Federal and State response experience. A complete list of participants is included as Attachment A.

The Council recognized that Americans want to learn more about terrorism and, particularly, how they can feel safe. Through state emergency planning and response functions, SMHAs have the recognized lead role in responding to the psychosocial consequences of terrorist acts. This response requires understanding of and planning for the consequences of terrorism. The 1995 Oklahoma City bombing and the terrorist acts of September 11, 2001 continue to be studied, providing clinical information, some outcome data and emerging best practices. With this in mind, the Medical Directors Council created this publication to serve as (1) a clinical guide, (2) a policy/planning stimulant and (3) as a general information resource for the SMHA Commissioner or Medical Director who must be rapidly briefed before responding to a critical event.

Much of the Council’s discussion centered on a public health approach to terrorism, recognizing that terrorism affects the overall health and psychological framework of the affected population. This approach expands the SMHA client base from traditional consumers with serious and persistent mental illness (SMI) and those who are seriously emotionally disturbed (SED) to the general population of the agency’s service area(s). Given this broader mission, SMHA preparedness is essential.

This Council reviewed current literature, examined their own experiences as well as best practices and agreed upon the following basic tenets.

- The goal of terrorism is to inflict psychological injury. Physical injury is the delivery method. The expected ratio of behavioral to physical casualties is 4:1.
- State Mental Health Authority leaders must prepare for terrorism and commit to following an all-hazards approach to emergency and disaster planning.
- In its lead role in managing a bioevent, Public Health is at the frontline of terrorism response involving weapons of mass destruction (WMD). Mental Health must partner with Public Health throughout the preparedness, surveillance, response, and recovery continuum.
- Pre-existing relationships are critical in disaster response and recovery. SMHAs should work in advance with Public Health, public and private disaster response agencies, sister state agencies and non-traditional partners that are addressed later in...
this document. These existing relationships often determine the effectiveness of the SMHA role in the days following an event.

- During the current period of severe decline in State resources, a significant challenge to effective SMHA terrorism preparedness and response is the expansion of (1) the mental health mission and (2) the population served.
- There is an emerging body of guidelines and best practices, however, more research is needed.
Understanding the Impacts of Terrorism

The primary goal of terrorism is to inflict psychological injury. Physical injury is a byproduct of the delivery method, creating individual and group impairment as well as social and governmental disruption. Victims of mass violence caused by malicious human intent are far more likely than victims of other disasters to be severely impaired by the event (Norris et al., 2002, Fall, Part I). Research shows that it is particularly hard for survivors to make sense of destruction, harm, and death when the results are intentionally caused (Norris et al., 2002, Fall, Part I).

To assess the psychological impact within the first three months post September 11 (9/11), the Centers for Disease Control conducted random telephone surveys of 3,512 persons in Connecticut, New Jersey and New York. The CDC found the short-term effects of the attacks included:

- 75% had problems attributed to the attack;
- 27% missed work;
- 21% increased smoking and 1% started smoking;
- 12% of respondents with problems sought help from either family members (36%) or friends/neighbors (31%); and
- 3% increased drinking (Anonymous, 2002, Sept. 6).

Understanding the impacts of terror is critical to creating an all-hazards plan and developing an effective psychosocial response. An overview of key concepts follows.

I. Individual Responses to Traumatic Stress

Individual response to trauma is generally predictable and usually transient. While many individuals may benefit from assistance following trauma, the literature demonstrates that most individuals will adapt via a range of positive coping responses that include the use of social supports, and their distress generally resolves within three months. Only a small portion of trauma victims will eventually require therapy for pathological response (Litz et al., 2003). There are predictable phases individuals experience in response to large-scale traumatic events and weapons of mass destruction. This information has been gleaned from military and disaster psychiatry (Lacy & Benedek, 2003).

Phase 1: Immediate Response: Post-traumatic distress is common and should be expected in the weeks following a terrorist event. Distress response spans a range that includes strong emotions, disbelief, numbness, fear, anxiety and confusion. Physical responses such as hyper arousal are not uncommon, particularly in the immediate aftermath of an attack. Initially, somatic

An immediate, coordinated response to a terrorist event is critical. Mental health, public health and the medical community become first responders.
responses include the release of stress hormones and peripheral catecholamines that usually result in improved cognitive performance. However, as time passes and the stress response persists, behavior and thinking may become narrowly focused with a loss of flexibility. Thinking may eventually become disorganized, resulting in either a fight or flight response or a freeze response. During this phase, the risk of mass panic or acute outbreaks of medically unexplained symptoms is at its peak (Lacy & Benedek, 2003).

**Phase 2: Intermediate Response: Adaptation, Arousal and Avoidance.** Phase two of the traumatic response occurs from one week to several months after the event. Intrusive symptoms such as recollections of the event associated with hyper arousal, hyper-vigilance, insomnia, and nightmares are common. Behavioral distress may present through increased visits to primary care providers where individuals may report new symptoms or worsening of existing health problems. Stress may even precipitate early labor in pregnant women or cause fetal distress. Emotional symptoms during this phase include, anger, irritability and apathy. Distress, grief, mourning, and other factors may contribute to social withdrawal (Lacy & Benedek, 2003). Individuals suffering from acute distress with dissociation may develop acute stress disorder during this phase (Litz et al., 2003).

**Phase 3: Long-term Response: Recovery, Impairment, and Change.** Phase three may last up to a year or more and may include post-trauma distress. In the year following the event, victims may experience feelings of disappointment and resentment especially if initial hopes for aid and restoration are not met. Historical community supports may have been disrupted and weakened by the event. Social assets may be further strained as they are redirected to address personal needs. A small number of individuals may experience continued posttraumatic distress including extended grief and mourning that may be classified as a psychiatric disorder for years after the attack. The majority of persons in the impacted community will rebuild their lives and focus on future challenges (Lacy & Benedek, 2003). Many will emerge from the event with strong, more adaptive coping skills as a result of their experience.

**II. Community Impact and Social Loss**

The collective nature of a terrorist event can be particularly distressing given the event’s impact on the same social connections that can mitigate distress and support post-traumatic recovery. One disaster example comes from Kentucky flood victims who reflected an element of community destruction independent of self-reported personal loss. While changes based on this sense of loss did not represent psychopathology, they did suggest that disasters that harm the entire community’s quality of life will manifest ongoing, though subclinical expressions of stress (Norris et al., 1994).

Research now coming out of lower Manhattan following the September 11 attacks on the World Trade Center also supports a broader, public health perspective regarding disaster effects (Galea et al., 2002). Other studies of Manhattan residents following 9/11 found that individuals who suffered social loss had greater impairment than those with only individual psychological...
impacts. SMHAs must consider not only individual post-trauma interventions but interventions using community-based and community-centered approaches, recognizing the experience of pain not only as individual, but also as communal (Vlahov, 2002). Terrorism’s ripple effects may hit hardest on neighborhoods already suffering economic distress, especially those with low income, immigrant populations. For example, the travel, hospitality and service industries experienced dramatic and sustained downturns following 9/11. These employment sectors disproportionately attract immigrants and low-wage earners who continue to face both political and economic impacts of 9/11 as well as diminished philanthropic support in their neighborhoods. Safety net programs essential to the community’s health and social fabric struggled post 9/11 as did the residents themselves.

III. Common Reactions and Syndromes

In the wake of terrorism, most people will experience some level of psychological distress, including an altered sense of safety and a range of physical, emotional, and behavioral distress responses (Hall et al., 2003). Researchers studying the outcomes of 9/11 found that psychological effects were not limited to those who experienced the trauma directly; trauma distress following 9/11 was evidenced nationwide (Silver et al., 2002).

Chemical, biological, radiological, nuclear, and high-yield explosive (CBRNE) weapons are especially effective at causing terror (Holloway et al., 1997). Biological agents—invisible, odorless, and often imperceptible to humans—and agents with delayed and protracted symptoms are particularly terrorizing to potentially exposed individuals. Because symptoms of exposure are ubiquitous and often difficult to assess, victims have a heightened sense of vulnerability, loss of control and anxiety (Hall et al., 2003). The following includes a list of common reactions and/or associated psychological disorders.

A. Panic

The commonly held assumption that mass panic automatically follows a terrorist event is unfounded. Panic refers primarily to a group phenomenon in which intense, contagious fear causes people to think only of themselves. Contrary to popular belief, panic rarely occurs following disasters (Lacy & Benedek, 2003).\(^1\) Research shows that following the initial impact, 12-25% of the population are able to analyze the dangers, formulate a plan and act on it while 75% are stunned and the remaining 10-25% become confused, perhaps paralyzed by fear or anxiety, or hysterical (Tyhurst, 1951). Risk factors for panic include:

- the belief that there is a small chance of escape;
- seeing oneself as being at high risk of becoming ill;

\(^1\) As of this writing, the most recent accounts of panic behavior occurred in China following the severe acute respiratory syndrome (SARS) outbreak in early 2003. Accounts published in the popular press indicated the behavior was driven by government misinformation, distrust, and unmitigated fear.
• available, but limited, resources in which the concept “first come, first served” prevails;
• a perceived lack of effective management of the catastrophe; and
• loss of credibility by authorities (Glass & Schoch-Spana, 2002).

B. Acute Stress Disorder

Acute Stress Disorder (ASD) is an anxiety disorder that develops within two to four weeks of the traumatic event or experience. Diagnostic criteria are met when an individual experiences significant distress, including re-experiencing, avoidance, and increased arousal, as well as dissociation symptoms, such as numbing, reduced awareness of surroundings, derealization, depersonalization, and dissociative amnesia (Litz et al., 2003). While age and gender have not been shown to be predictive factors of ASD, they are factors that should be considered in designing interventions. ASD may serve to identify individuals who are at most risk of developing PTSD (Litz et al., 2003).

C. Post Traumatic Stress Disorder (PTSD)

Post-Traumatic Stress Disorder is the "common cold" of mental health response to a traumatic event. Although the risk of experiencing a traumatic event is high (60%-90%) (Breslau et al., 1998; Kessler et al., 1995), the prevalence of PTSD is low, with estimates of 8%-9% of individuals exposed to trauma reporting PTSD at some point in their lifetime (Kessler et al., 1995). Just as a common cold may precede pneumonia, PTSD often may resolve without intervention or develop into something more serious. Untreated, it may result in a debilitating condition that continues for many years. Individuals with PTSD have persistent frightening thoughts and memories of their ordeal, and they feel emotionally numb. Some people with PTSD repeatedly relive the trauma in the form of nightmares and disturbing recollections during the day. They also may experience sleep problems and depression. Behavioral distress may present as irritability, increased aggression, or even violence. Visual reminders of the incident may be very distressing, leading to avoidance of certain places or situations that trigger memories of the event. Anniversaries of the event often are difficult and may trigger distress years later. Despite therapeutic intervention, a core group of individuals with PTSD (estimated as high as 30%) may fail to recover after many years (National Center for Post Traumatic Stress Disorder, 2003).

D. Depression

Depression is a common consequence of disaster and terrorism. Sadness and depressed mood follows loss, whether the loss is a loved one, property or, as in terrorism, a sense of safety. Following 9/11, many individuals experienced depressed mood, decreased appetite and trouble sleeping. These symptoms are all associated with depression. Most depressive episodes, including those following disaster and terrorism, are self-limiting with symptoms resolving

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2 Urasano, R., Department of Psychiatry, Uniformed Services University in Bethesda, MD. Personal communication with editors and meeting participants.
without mental health intervention. Some post-trauma depression becomes more severe and requires therapeutic intervention.

E. Generalized Anxiety Disorder (GAD)

Distress and anxiety are common reactions to trauma. Persons who experience frightening events become anxious and worry about other bad things that might happen. If these symptoms persist for more than six months and they cause significant distress, including physical symptoms and impaired functioning, criteria are met to establish a diagnosis of Generalized Anxiety Disorder. Mental health intervention should be considered and medications may be helpful.

F. Medically Unexplained Physical Symptoms (MUPS)

Post-traumatic distress symptoms such as hyper arousal and intense anxiety can cause multiple, somatic symptoms such as heart racing, shortness of breath, flushing and nausea. Virtually any organ system may be involved. Acutely traumatized, frightened individuals may easily attribute these physical sensations to CBRNE\(^3\) agents (Lacy & Benedek, 2003). The largely unknown nature surrounding exposure to CBRNE agents (e.g., uncertainty regarding whether exposure occurred, evolving treatment interventions, and uncertainty regarding long-term outcomes) make the real or perceived exposure a particularly terrifying and anxiety producing event (Holloway et al., 1997). The first MUPS cases emerged after World War I as “shell-shocked” victims returned home. Similar symptomatology was evidenced by soldiers fearful of exposure to Agent Orange in Vietnam. The post-traumatic presentation of unexplained physical symptoms was labeled Gulf War Syndrome in the 1990s, as hundreds of U.S. soldiers returned from the Middle East with a range of physical complaints including headaches, numbness, joint pain, and gastrointestinal distress. Physical complaints were often accompanied by sleeplessness and anxiety, heightened by evidence that the source of the distress was largely unexplained. Similarly, victims of anthrax exposure at the Washington Postal Processing and Distribution Center, Brentwood, experienced a range of somatic complaints that persisted months or even a year after the event. The military took an active approach in screening physical symptomatology as well as traditional psychiatric problems post 9/11 at the Pentagon (Hoge et al., 2002). Lessons learned in the Gulf War experience triggered a proactive response to the call up for the 2003 War in Iraq. According to the popular press, soldiers were screened via a battery of tests, intended to provide baseline values against which to assess physical and behavioral status upon their return.

G. Psychiatric Aspects of Biological Agents

Nerve agents such as sarin, tabun, sonan, and VX have the greatest potential among chemical weapons for causing confusion in diagnosis. The effects of nerve agents include intellectual impairment, anxiety, psychomotor retardation, and disturbed sleep patterns. Other known exposure effects include depressed mood, social withdrawal, insomnia with unpleasant dreams, and “anti-social thought.” Of the drugs associated with the management of nerve agent exposure, atropine has the most potential for serious alterations in mental status. Most civilian physicians are accustomed to using atropine in low doses but treatment of patients exposed to

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\(^3\) Acronym for chemical, biological, radiological, nuclear and high yield explosives.
acetylcholinesterase inhibitors may require much higher doses in the first 24 hours. Given in excess of the patient’s needs, atropine can produce psychiatric side effects ranging from drowsiness to hyperactivity, hallucinations, and coma according to Headley (1982), Longo (1966), and Wadia et al. (1974). Many biological weapons can cause delirium (DiGiovanni, 1999).

IV. Service Needs

A. Emergency Room Triage

Emergency rooms can be overwhelmed by psychologically affected persons inappropriately seeking medical assistance. During the Scud Missile attacks on Israel during the 1991 Gulf War, only 22% of the more than 1,000 presenting for emergency care had been directly injured (Karsenty et al., 1991). Following the 1995 sarin gas attacks in a Tokyo subway, over 4,000 people who showed no signs of exposure sought emergency medical care (Ohbu et al., 1997). SMHAs must be prepared to support behavioral health interventions for the large numbers that may be expected to seek emergency care following a terrorist event.

Recommendations concerning early emergency care for psychologically distressed victims, include:

- avoid use of the term “worried well”; victims may feel their concerns are not being taken seriously;
- use non-stigmatizing labeling systems that assign risk as high, moderate, and minimal;
- co-locate treatment areas in the emergency room for patients who are not reassured by negative findings; and
- establish a clinical registry to follow-up with patients who are distressed (Hall et al., 2003).

B. Early Intervention

Historically, people and communities respond cooperatively and adaptively in most natural and manmade disasters (Glass & Schoch-Spana, 2002). The assumption that people will panic or behave irrationally following an event has negative consequences. Authorities may provide inaccurate information or unfounded reassurance motivated by a wish to calm the public. The panic myth may also lead response agencies to neglect the public’s role in planning and response, and miss opportunities to leverage a community’s social capital in managing the response (Hall et al., 2002). Early interventions should focus on well being, not mental health, with an emphasis on stress management, psychoeducation, and limiting exposure to traumatic images (Hall et al., 2003).

C. Evidence-Based Interventions
According to the National Institute of Mental Health, there is limited Level 1\(^4\) evidence to definitively confirm or refute the effectiveness of any early psychological intervention following mass violence and disasters. The current evidence, often drawing on other types of traumatic events, permits the following conclusions.

- There is some Level 1 evidence for the effectiveness of early, brief, and focused psychotherapeutic intervention (provided on an individual or a group basis) for reducing distress in bereaved spouses, parents, and children. Additionally, selected cognitive behavioral approaches may help reduce incidence, duration, and severity of ASD, PTSD, and depression in trauma survivors (e.g. victims of accidents, rape, and crime). Finally, early intervention in the form of a single one-on-one recital of events and expression of emotions evoked by a traumatic event (as advocated in some forms of psychological debriefing) does not consistently reduce risks of later developing PTSD or related adjustment difficulties. Some survivors (e.g. those with high arousal) may be put at heightened risk for adverse outcomes as a result of such early interventions.

- There is no evidence that eye movement desensitization and reprocessing (EMDR) as an early mental health intervention following mass violence and disasters is a treatment of choice over other approaches (National Institute of Mental Health, 2002).

D. Projected Service Needs

Research shows that transient post-traumatic distress may materialize in 20-50% of persons exposed to disasters. In a CBRNE event, that number is likely to be higher.

Following 9/11, the U.S. Department of Defense launched Operation Solace to provide post-disaster recovery and support services to victims of the attack on the Pentagon. Project design reflected lessons learned from Oklahoma City and the military’s experience with MUPS. Demand assessments for psychosocial support and psychological intervention for victims of the attacks at the two Federal facilities are compared with some caveats (Hoge et al., 2002). In Oklahoma City, the SMHA considered the entire population of the city, while at the Pentagon, the response focused solely upon military employees and their families. Through their FEMA-funded Crisis Counseling Programs, the SMHAs in Virginia and Washington, D.C., served the non-military populations impacted by 9/11.

The April 19, 1995 bombing of the Murrah Building in Oklahoma City wounded 853 people and caused 168 deaths, while the 9/11 attack on the Pentagon wounded 104 people and caused 125 deaths. In Oklahoma, approximately 1,100 people were in the immediate blast area, and 16,000 worked or resided within 50 square blocks of the Murrah building. An estimated 4,500 people were in the Pentagon wedge with 24,000 total personnel working in the building. Oklahoma City

\(^4\) The Agency for Health Care Policy and Research (AHCPR) has defined a system of classification for levels of evidence in scientific trials. In this document, Level 1 evidence, which is considered “the gold standard,” refers to randomized, well-controlled clinical trials.
has a population of 500,000; while at the Pentagon, the military focused on the 400,000 persons who are National Capital Region beneficiaries under their health plan. In Oklahoma City during the first 2.5 years post-event, the actual number of clients served was 9,000 and their visits totaled 40,000. Based upon the Oklahoma experience, the Pentagon anticipates serving 13,500 clients via 54,000 visits (Hoge et al., 2002).

Under Operation Solace, small multi-disciplinary teams of psychiatrists, psychologists, psychiatric nurses and social workers worked with chaplains to provide outreach within the Pentagon and surrounding offices. These pre-clinical visits became known among planners and providers as “therapy by walking around” since the teams engaged directly with workers in supportive ways in their own work environment. Clinical records were created only for employees who needed medication or more intense therapy. In addition, care managers (behavioral health professionals) were teamed with primary care providers to coordinate care with individuals to serve persons referred to or seeking care in the health clinic (Hoge et al., 2002).

Within two months of the attack, the behavioral health professionals made nearly 40,000 contacts including 23,187 individual contacts, 10,599 group educational contacts and 5,709 contacts during debriefings. Lessons learned include:

- avoid medicalizing initial reactions;
- don’t open medical records for initial care;
- use “therapy by walking around” and triage those in need by work or social group;
- partner mental health care managers with primary care doctors for victims/survivors seeking health care;
- provide crisis communication leaders that are highly visible and able to offer small amounts of information frequently (people don’t panic but want information);
- be sure to protect the trust and credibility of leadership/management; and
- pay attention to social connectedness (provide small, meaningful tasks to increase group interaction and connectedness) (Hoge et al., 2002).

After reviewing information from the Oklahoma City experience, the New York State Office of Mental Health (OMH) adapted the Center for Mental Health Services’ (CMHS) Needs Assessment Formula to design their mental health response to 9/11. The CMHS needs assessment tool was originally devised to estimate persons to be served following natural disasters. New York OMH increased the category multipliers based upon the extreme psychological impact of the terrorist incident and through consultation and technical assistance from the Emergency Mental Health and Traumatic Stress Services Branch at CMHS. Table 1 shows New York’s projections as detailed in their Immediate Services Program crisis counseling grant application to the Federal Emergency Management Agency (FEMA) submitted October 31, 2001. Project Liberty is the name of New York’s FEMA-funded crisis counseling program. Readers can access New York’s data management toolkit posted on the program Web site at www.projectliberty.state.ny.us/
Table 1. New York State Office of Mental Health Revised CMHS Needs Assessment Formula for Estimating Crisis Counseling Services Following a Terrorist Event for New York City Boroughs (October 31, 2001)

<table>
<thead>
<tr>
<th>Loss Categories</th>
<th>Number of Persons</th>
<th>ANH</th>
<th>Range Estimated Natural Disasters</th>
<th>Range Estimated Terrorism</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of Loss/Category</td>
<td>Number</td>
<td>Multiply by ANH^5</td>
<td>At-Risk Multiplier</td>
<td>At-Risk Multiplier</td>
<td>Number of persons targeted per loss category</td>
</tr>
<tr>
<td>Expansion for Terrorism</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dead/Missing</td>
<td>4,544</td>
<td>2.62</td>
<td>100%</td>
<td>100%</td>
<td>11,905</td>
</tr>
<tr>
<td>Hospitalized</td>
<td>800</td>
<td>2.62</td>
<td>35%</td>
<td>100%</td>
<td>2,096</td>
</tr>
<tr>
<td>Non-hospitalized Injured</td>
<td>7,985</td>
<td>2.62</td>
<td>15%</td>
<td>90%</td>
<td>18,829</td>
</tr>
<tr>
<td>Homes destroyed</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Homes &quot;Major Damage&quot;</td>
<td>4,500</td>
<td>2</td>
<td>35%</td>
<td>50%</td>
<td>4,500</td>
</tr>
<tr>
<td>Homes &quot;Minor Damage&quot;</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Disaster Unemployed/Displaced Employed & Unemployed | 327,000         | 2.62            | 15%                | 50%                       | 428,370 |}

| (Others--Specify)                              |                   |                 |                    |                            |       |
| 1. WTC Emergency & Recovery Workers           | 17,859            | 2.62            | 100%               |                            | 46,791 |
| 2. WTC Evacuees                               | 5,456             | 2.62            | 100%               |                            | 14,295 |
| 3. WTC Employees Absent at time of Attack     | 40,000            | 2.62            | 90%                |                            | 94,320 |
| 4. Students & Teachers in 7 schools in WTC proximity | 9151              | 2.62            | 100%               |                            | 23,976 |
| 5. Pre-schoolers and students 5-18 in NYC     | 1,932,000         |                 |                    |                            | 772,800 |
| 6. Outreach to Greater NYC                    | 6,564,196         | 10%             | 6. 10%             |                            | 656,420 |

Total estimated persons in need of crisis counseling services (add total column) 2,074,302

OMH developed a program evaluation to assess service needs on an ongoing basis. Chip Felton, M.S.W., Deputy Commissioner and Chief Information Officer, Center for Information Technology and Evaluation Research, New York State Office of Mental Health, is the key contact for Project Liberty’s needs assessment, program evaluation, and toolkit. He is also available to answer reader questions at chip.felton@omh.state.ny.us

^5 ANH means Average Number of persons per Household. This figure can be obtained on a county/parish/area basis from the Census Bureau. If the State is unable to determine the ANH for an area, then the average figure of 2.5 is used. New York used an ANH of 2.62.
OMH has compiled data through June 2003 showing that Project Liberty has provided face-to-face services for more than 1.12 million people. Felton explains that by subtracting the estimate of serving 656,000, identified in Table 1 as “Outreach to Greater NY” from the overall projection of persons to be served, the result is 1.4 million. At current service delivery levels, Project Liberty will have served close to that estimate with face-to-face services by the end of 2003.

Harder to assess is the Table 1 category of “Outreach to Greater NY”. Beyond highly exposed groups where there is some research evidence, there is little information to draw upon for estimating the level and types of needs in this broader population. These are New Yorkers who might experience some substantial degree of distress due to witnessing the event from a distance or viewing it on TV but who were not personally at-risk and did not suffer any substantial personal loss. Data from the New York Academy of Medicine's epidemiological surveys conducted since 9/11 show that, by the one-year anniversary of the attacks, half of all New Yorkers knew about Project Liberty through outreach efforts. Thus it appears the outreach services of media campaigns and public education materials have reached well beyond the estimated 656,000 New Yorkers served.

V. Longitudinal Course

In a nationwide longitudinal study of the psychological responses to September 11, the national probability sample was comprised of 3,496 adults. Of those, 2,729 (78% participation rate) completed a Web-based survey between nine to 23 days post attack. A random sample of 1,069 panelists residing outside New York City received a second survey two months post-9/11, and 933 individuals (87% participation rate) completed this survey. Six months after the attack, 787 completed a third survey. The following aggregate data demonstrate the longitudinal course of PTSD (Silver et al., 2002; Anonymous, 2002, Sept. 6; Anonymous, 2002, Sept. 11).

Table 2. Longitudinal Course of PTSD

<table>
<thead>
<tr>
<th>Symptom</th>
<th>2-3 weeks</th>
<th>2 months</th>
<th>6 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dissociative/avoidance</td>
<td>30%</td>
<td>30%</td>
<td>11%</td>
</tr>
<tr>
<td>Anxious</td>
<td>41%</td>
<td>30%</td>
<td>11%</td>
</tr>
<tr>
<td>Re-experience transient symptoms and smaller group with ongoing symptoms</td>
<td>54%</td>
<td>48%</td>
<td>23%</td>
</tr>
<tr>
<td>ASD or PTSD</td>
<td>12%</td>
<td>17%</td>
<td>6%</td>
</tr>
</tbody>
</table>

Clearly, the data indicate that post-disaster distress is most acute in the immediate period following the event, and the majority of individuals will recover.

VI. Research
State MH authorities should support ongoing research in Disaster Mental Health Responses particularly on the outcomes of early intervention, in order to promote psychosocial recovery at every stage. Because of their likely involvement in early responder services, state mental health authorities are well-positioned to contribute to initial surveillance activities (Nelson, Holtzman, Waller, et al., 1999). Academics in the community should be linked to community mental health agencies prior to the events, so that working relationships are forged ahead of time. Training of clinicians in program evaluation and of academics in disaster research methods should be fostered prior to events, and rapid linkages should be promoted early in the post-event phase. In some settings, it may be appropriate for the state mental health authority to help coordinate research activities, in order to enhance access to funding sources.

Research should employ sound methodology and respect ethical considerations (North, Pfefferbaum, & Tucker, 2002). There is no evidence that there is any significant research burden for participants. Although disasters are not an intuitively conducive environment to research, research on prior terrorist events serves to guide post-terrorism surveillance. For example, it has helped to distinguish psychiatric illness from subdiagnostic distress (North, 2002).
Special Populations

Many Americans feel a diminished sense of safety and security post 9/11. The horror of the 9/11 attacks has changed fundamental beliefs on the individual level and social norms at a community level. Elevated security threat warnings and military deployment are ongoing reminders that permeate the culture of impacted communities. While the vast majority of individuals will adapt to the new stressors and, over time, emerge with greater resiliency from the experience, there is a growing body of literature on individual-risk factors that include environmental characteristics, personal history and degree of association with the event.

I. General Risk Factors

Mass violence events/disasters have a greater psychological impact than those of natural disasters or technological events. A recent empirical review incorporated findings from 160 samples and 102 disasters worldwide. Identified risk factors are summarized in the following table from Norris’ research based on a large analysis of disaster-victims’ outcomes (Norris et al., 2002, Fall, Part II).

Table 3. Individual-Level Risk Factors for Poor Mental Health Outcome

<table>
<thead>
<tr>
<th>Category</th>
<th>Risk factor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trauma and stress</td>
<td>Severe exposure to the disaster, especially injury, threat to life and extreme loss.</td>
</tr>
<tr>
<td></td>
<td>Living in the context of a neighborhood or community that is highly disrupted or traumatized.</td>
</tr>
<tr>
<td></td>
<td>High secondary stress, regardless of whether it is of an acute or chronic nature.</td>
</tr>
<tr>
<td>Survivor characteristics</td>
<td>Female gender</td>
</tr>
<tr>
<td></td>
<td>If an adult survivor, age in the middle years of 40-60</td>
</tr>
<tr>
<td></td>
<td>Little previous experience relevant to coping with the disaster.</td>
</tr>
<tr>
<td></td>
<td>Membership in an ethnic minority group.</td>
</tr>
<tr>
<td></td>
<td>Poverty or low socioeconomic status.</td>
</tr>
<tr>
<td></td>
<td>Predisaster psychiatric history.</td>
</tr>
<tr>
<td>Family context</td>
<td>If an adult survivor, the presence of children in the home and, if female, the presence of a spouse.</td>
</tr>
</tbody>
</table>
## II. Consumers of Mental Health Services

Research shows that consumers’ response to disasters and terrorism mirrors that of the general public. In most instances post-event, this population tends to stay closely connected and, in fact, hospital admissions decrease. Problems may occur later if the social fabric of the community is destroyed and community support is reduced or inaccessible. Self-help and peer-support groups are vital. SMHA all-hazards plans should address systems to assure consumer access to prescribed medications as well as communal support resources.

Clinicians should be cautioned that stress reactions in consumers can manifest in ways that mimic exacerbation of psychiatric illness (such as agitation, hyper arousal, sleep disturbance, etc.). Clinicians should evaluate whether anti-anxiety medications (if any) are needed to address such symptoms during the initial stages of an event and should also avoid “medicalizing” such typical stress responses.

To gauge the impact of 9/11 on mental health consumers, staff psychiatrists and mental health workers in the Missouri Department of Mental Health (DMH) conducted a six-question survey during the course of treating 11,052 patients between January and February 2002. The findings were not substantially different from what is known about the general population post-event.

- 50% of the clients felt nervous or worried.
- 15% felt numb and avoided people.
- 13% felt less able to cope.
- 5% reported an increase in alcohol or drug use.
- Almost 6% felt the need for more substance abuse treatment and 14% felt the need for more mental health treatment.

Of those in treatment or receiving DMH services, the group feeling the lowest impact were those housed at a maximum security facility for forensics and persons not guilty by reason of insanity (NGRI). Persons obtaining substance abuse treatment services reported the need for both substance abuse and psychiatric services, with a slightly higher need for mental health services. The most highly impacted facility was a substance abuse treatment center specializing in traumatized women with PTSD. This finding is consistent with evidence from the literature that

<table>
<thead>
<tr>
<th>Category</th>
<th>Risk factor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>If child survivor, the presence of parental distress.</td>
</tr>
<tr>
<td></td>
<td>The presence of a family member who is significantly distressed.</td>
</tr>
<tr>
<td></td>
<td>Interpersonal conflict or lack of supportive atmosphere in the home.</td>
</tr>
<tr>
<td>Resource context</td>
<td>Lacking or losing beliefs in one’s ability to cope and control outcome.</td>
</tr>
<tr>
<td></td>
<td>Possessing few, weak, or deteriorating resources.</td>
</tr>
</tbody>
</table>

State Mental Health Authorities’ Response to Terrorism
prior trauma history and prior psychological conditions are risk factors for recovery from a subsequent traumatic event (Norris et al., 2002, Fall, Part II).

III. Children

A. Responses to Trauma

Children respond to a traumatic event according to their age, personality, personal history, developmental level, degree of exposure, and the responses of adults close to them.

Below are important guidelines for parents and caregivers such as teachers, school counselors, pediatricians, Scout leaders, clergy, and other adults involved with the child.

- The parents’/caretakers’ response to the event will affect the child’s response.
- Maintain the child’s normal routine to the extent possible, especially at home and at school, to reduce stress.
- Children need information delivered to them at their developmental level and in words they can understand.
- Encourage children to talk about their feelings or to express them in other appropriate ways such as drawing or other art forms.
- Consider the child’s age and developmental level regarding access to television coverage of the event.
- Changes in behavior and regression to earlier behaviors may indicate distress. Be trained on what to look for such as persistent fears related to the catastrophe, loss of concentration, and irritability, and physical complaints (stomach aches, headaches, dizziness) for which there appears to be no physical cause.
- Children, just like adults, may not exhibit any issues for six months or until anniversary periods.
- Be especially sensitive with children who directly witnessed trauma as, of course, they may be at higher risk of developing more significant psychosocial reactions.

It is also important to consider the following age-specific stress reactions.

- Pre-verbal children may sense that their parent(s) is anxious and, then they become anxious.
- Toddlers may regress, become more oppositional, angry, clingy, have sleep problems and nightmares.
- Pre-schoolers may exhibit their views and fears through their play.
- Grade school children and older children are more verbal. Like teens, they may exhibit changes in behavior, mood, sleep, eating, and relationships.

For more detailed and age-specific guidance, review the list of Web sites in Attachment C of this document.
B. Children and Schools

When considering the needs of children, remember that the school environment is central to their lives and, frequently, to the lives of their families. Schools often have significant symbolic value in communities and are, in addition, the work place for both students and varied school staff. Understand that schools have their own special populations, including: children receiving special medications or other therapeutic treatments, hearing impaired, and physical and mentally challenged students. For safety reasons, schools function as closed systems. They are required to have their own safety plans and traditionally have their own crisis teams to respond to critical incidents. During the Metropolitan Washington, D.C. sniper shootings in fall 2002, leaders of the local mental health authorities in Maryland worked with schools, pediatricians, and clergy among others regarding how to work with children. The strong state level relationship between mental health and education filtered to the local mental health authorities and their corresponding school superintendent. Virginia and the District of Columbia also worked with local community-based organizations to share information about how to support children affected by the terrorist event. The three jurisdictions’ common message was, “We’re here if you need us.”

IV. Elderly

Research shows that being elderly is not a risk factor for psychological issues post-disaster as seniors often possess a life-long history of positive coping (Norris, 2002, Spring). Factors to consider in this group are diminished health status, poverty and social isolation. In planning for senior care, assure that special medication and other health needs are met. It is also important to help seniors maintain social connections and re-establish prior coping skills and strategies.

V. Hearing-Impaired

State SMHAs must adequately address populations who are hearing-impaired in their all-hazards plan and ensure appropriate materials, communication methods, and interpreters. Warnings and event-notification are a concern, particularly for isolated individuals who lack electronic alert and messaging equipment. Ensure first responders are aware of this population in the SMHA service areas. In a nationwide survey of community mental health centers, only one-third of the respondents addressed a plan for the hearing-impaired.

VI. Substance Abuse (SA)

Studies on the impact of traumatic distress and substance use are limited and inconsistent. Research shows persons with pre-existing Post-Traumatic Stress Disorder (PTSD), trauma and co-occurring substance abuse disorders are most at risk for developing substance abuse issues
post-event. The literature presents a range of 30%-60% of persons with substance use disorder who meet the criteria for PTSD, with PTSD occurring first followed by the substance abuse. After 9/11, the New York Academy of Medicine administered a survey that found increased substance use, smoking, and use of sleeping medications with some people abusing these substances. One explanation is the unprecedented exposure to the event with estimates of 1.2 million witnesses in NYC. In the Center for Disease Control random telephone survey of persons within the first three months of the World Trade Center attack, 21% of the 3,512 respondents increased smoking and 3% increased drinking (Anonymous, 2002, Sept. 6). Unfortunately, there is no longitudinal data from these studies.

Although some SMHAs coordinate both mental health and substance abuse services, many do not. Those that do not already coordinate should collaborate with the responsible agencies to ensure coordinated and comprehensive services. SAMHSA has a new mandate to deal with substance abuse issues as part of the mental health response to large-scale disasters. SMHA providers should be trained in basic SA screening tools and SA treatment options to aid in detecting increased substance use and ensure referral and triage as necessary.

SAMHSA’s Center for Substance Abuse Treatment (CSAT) will be issuing an estimated 300-page Treatment Improvement Protocol (TIP) titled Substance Abuse Treatment and Trauma in late 2004. CSAT’s TIP document includes definitions of trauma. One comes from the Diagnostic and Statistical Manual of Mental Disorders - Fourth Edition, Text Revision (DSM-IV-TR) where trauma is the “actual or threatened death or serious injury, or a threat to the physical integrity of self or others” and “the person's response involved intense fear, helplessness, or horror.” Trauma has also been identified as “a sudden and forceful occurrence overwhelming a person's usual response capabilities” (Horowitz 1989).

The TIP will be divided into two volumes. Volume I covers general trauma information with emphasis on screening and assessment. Volume II deals specifically with human-caused events and natural disasters. Both mental health and substance abuse professionals should be aware of the key points to be emphasized in the TIP including.

- There should be routine screening for trauma by primary care staff and mental health and substance abuse professionals.
- Clinicians and counselors should be aware of the trauma history of their patients. The memory of previous events can be triggered by the current crisis, thus impacting the individual’s trauma response.
- Be aware of the different types of trauma including motor vehicle accidents, divorce, job loss, etc. as well as crime or violent acts, physical, sexual and emotional trauma.
- People with a history of trauma often have co-occurring disorders such as depression, anxiety disorders, PTSD, and SA.
- Counselors and clinicians should be informed on their role in re-traumatization. Don’t ask for details of the traumatic event early on as most people need to build trust and allow some time before sharing their experience.
- Disaster preparedness is critical. Every treatment agency should network within their community to link resources and provide a seamless response to a traumatic event. Triage protocols should be in place for referral and follow-up.
This TIP will be posted on SAMHSA’s Web site through their Treatment Improvement Exchange accessible through www.samhsa.gov/centers/csat2002/publications.html

Another important source is from lessons from the immediate impact and first six months following the 9/11 WTC attacks compiled by the New York Office of Alcoholism and Substance Abuse. Much of the data came from provider focus groups across a broad range of treatment and prevention programs, including school-based programs, throughout New York City, its suburbs and in selective upstate locations. Key themes from across groups are listed below.

- Substance abuse personnel need training in post-traumatic distress.
- Providers were particularly concerned about PTSD in previously traumatized clients who they assumed might relapse due to the retraumatization.
- Methadone providers should develop treatment protocols for clients who present as “guests” due to inaccessibility to their customary treatment program.
- There was increased use of tranquilizers and antianxiety medications post-event by 1) clients requesting prescriptions or testing positive for street drugs and 2) methadone patients requesting higher dose prescriptions to deal with additional stress.
- Programs working with first responders and ground zero recovery workers reported concern with the heavy drinking that was a part of the camaraderie and rituals among that group post-event.
- Compared to October and November 2001, programs consistently reported increases in their December 2001 census in readmissions, transfers and new admissions. Many clients presented with secondary stressors, subsequent to job loss or work-related problems, marital problems, criminal justice charges and health problems.
- Schools noted increased suspensions due to violence and bullying. Some providers attributed this to family distress including domestic violence and substance abuse, subsequent to economic loss.
- Several providers referenced treatment data out of Oklahoma City, citing increases in alcohol and other drug problems presenting more than five years after the 1995 bombing and so noting that for 9/11 survivors “the worst is yet to come” (New York Office of Alcoholism and Substance Abuse Services, 2002).

VII. First Responders

The initial public safety teams to arrive at the site of an incident are known as first responders—traditionally, police, fire, rescue, and emergency medical services (EMS). Their culture provides some protective factors against distress syndromes associated with disasters. As a group, first responders tend to deny and minimize the psychological consequences of their work and prefer to solve any problems internally (North et al., 2002). Generally speaking this group experiences more psychological problems when children are victims of the event. Critical Incident Stress Management (CISM) and Critical Incident Stress Debriefing (CISD) have become embedded in the first responder culture as structured, group process for facilitating group understanding and assigning meaning to tragic events. These services are generally guided by a specially trained
team that includes a mental health professional and peer team. CISM services may be offered through the service’s Employee Assistance Program (EAP) or through arrangements with local CISM-trained response teams. Concerns about confidentiality, perception of weakness and subsequently fitness for duty prevail across first responder groups and may serve as a barrier to responders’ ability to access needed services.

The Debate Regarding CISM and CISD

SMHAs should be aware of the controversy surrounding Critical Incident Stress Management (CISM) and Critical Incident Stress Debriefing (CISD). Typically CISD is used within 48 hours of the event/shift to debrief and diffuse as people leave their roles. Research says people feel better but the long-term consequences are mixed: some do better, some remain neutral, some get worse. Sometimes people aren’t comfortable with group process and complications could arise when groups are mixed with persons exposed to extreme situations and those that were not. Ideally, a menu of interventions (multi-component) across a range of time (phase-appropriate) should be offered to all impacted groups, with this as one of the items for first responders. This should all be part of a mental health response system with mutual aid agreements in place to ensure coordination, efficiency and effectiveness of services. The workgroup agreed that research and best practices should be monitored and that CISD or CISM is best used with traditional first responders as originally designed and not for primary victims or other special populations.

First responders tend to seek and accept treatment later as was the case following the Oklahoma City bombing. When they did accept treatment, it was often because their supervisors, spouses, or companions applied pressure. Also, substance abuse issues in fire fighters are not new and appear to be part of their culture. The rate of current alcohol use disorder is 25% and the lifetime rate is nearly 50% (North et al., 2002). The “burden of heroism” brings another level of caution in managing the post-event recovery of first responders. When “just doing their job” causes them to be singled out as heroes and thrust into the limelight, they may experience greater distress. Special care should be taken over time to assure they have appropriate opportunities to normalize both their response and the public’s attention.

VIII. Bioterrorism First Responders

In our changing world, bioterrorism first responders may come from any profession. No one ever expected U.S. Postal workers or members of Congress and their staff to be front line due to the anthrax mailings. However, health care providers (emergency room staff, clinic staff, primary care providers, and behavioral health care workers) are definitely a new front line for bioterrorism detection and response. Health care workers who feel thrust into this role without adequate training, assurance of safety, and resource support, may feel role conflict. Managers must address demand scenarios and response capacity in a framework that considers: (1) are health care responders adequately prepared for their new roles and can they assess risk given appropriate information and training?; (2) will personal concerns distract...
or even deter a key number of providers from filling critical care roles?; and (3) do pre-surveys or individual/group discussions get employees to commit to serving during critical events (e.g., persons vaccinated for small pox)? Helping workers to address their family needs so that they can be available to perform critical business functions is a key challenge for SMHAs. Such personal safety planning alleviates worker stress and enhances the potential that employees will come to/remain on the job. Regarding role conflict, SMHAs should consider that (1) people typically will perform their job responsibilities but it may be hard to get them to leave or disengage; (2) once involved, the stimulus and stress may be incredibly overwhelming; and (3) it is important to consider if the same roles apply in a bioterrorist incident.

IX. Domestic Violence

There is little conclusive evidence that domestic violence increases after major disasters, and as of this writing there is no published research on terrorism’s impact. Yet research does suggest that the post-disaster prevalence of domestic violence may be substantial. In the most relevant study, 14% of women experienced at least one act of post-flood physical aggression and 25% reported post-flood emotional abuse over a nine-month period. Another study reported a 46% increase in police reports of domestic violence after a disaster. Other studies show that substantial percentages of disaster victims experience marital stress, new conflicts, and troubled interpersonal relationships. There is more conclusive evidence that domestic violence harms women’s abilities to recover from disasters. In the most relevant study, 39% of abused women developed post-disaster PTSD compared to 17% of non-abused women, and 57% of abused women developed post-disaster depression, compared to 28% of non-abused women. Marital stress and conflicts are highly predictive of post-disaster symptoms. In light of the fact that, in general, married women are a high-risk group for developing post-disaster psychological problems, SMHAs are advised to integrate violence-related screenings and services into programs for women, men, and families (Norris, 2003).
I. All-Hazards Planning

All-Hazards Planning is a FEMA-based initiative where states develop an action plan that can be fine-tuned for response to any natural or human-caused disaster. In conjunction with SAMHSA, NASMHPD developed the Mental Health All-Hazards Disaster Planning Guidance for SMHAs by reviewing state plans and defining best practices as well as developing a matrix of plan content. The SMHA’s All-Hazards Plan details the agency’s role and response in dealing with employees and service delivery to existing clients as well as the newer role of providing psychological first aid to the general public. SMHA All-Hazard Plans should be organized and integrated according to the State Emergency Management Agency (SEMA) Emergency Operations Plan (EOP) which details the primary and secondary roles of each state agency.

A big challenge for states is knowing what and how much to document. Therefore, a planning matrix is part of the All-Hazards Planning Guidance. SMHAs should realize that the planning matrix represents “the gold standard” of plans. Consider the plan a program with an eye toward maintenance, sustainability, testing, training, and updating on an annual basis. Again, excellent guidance is available by accessing the publication on either of the two Web sites listed in the above box.

II. Risk Communication

Risk communication is the skillful practice of conveying information, opinions and possibly multiple messages regarding the risk/event to individuals, groups and institutions. This practice is based on the recognition that people turn to authority figures for information in times of distress, and public leaders need to know how to answer questions effectively while calming fears. Public officials including SMHA leaders should understand the power of media messages and ensure that all public communications are screened for the mental health impact.

Other risk communication preparedness efforts should focus on the following items.
• SMHA Public Affairs, the Governor’s Office and the Public Health Agency should jointly craft targeted messages addressing such topics as the fear of potential exposure to a bioterrorist agent, the psychological impact of waiting for test results, etc.

• SMHAs should develop fact sheets on the common physical and psychological reactions to specific agents such as sarin, anthrax, smallpox, etc. It is important to specifically convey that the physiological impact of some biological exposure mimics stress reactions (i.e., agitation and cognitive impairment in sarin exposure).

• SMHA Public Affairs staff should review media messages crafted for the Governor, Public Health and other key agencies for the mental health impact of the information conveyed.

• The SMHA Medical Director may serve as a resource to provide the public with information about direct and psychological impacts of the event.
Stakeholder Recommendations

Throughout the workgroup summit and development of this document, the NASMHPD Medical Directors Council compiled numerous recommendations and lessons learned for improving SMHA terrorism response. The recommendations are organized according to the relevant disaster phases of pre-event preparedness, response phase and recovery. Table 4 illustrates the key disaster phases followed by the recommendations. Separate recommendations sections were developed for: (1) Medical Directors, focusing on clinical and service issues; (2) SMHA Commissioners and Senior Staff, focusing on leadership and organizational concepts; and (3) NASMHPD, focusing on resources, consultation and advocacy.

Table 4. Disaster Life Cycle

<table>
<thead>
<tr>
<th>Pre-Event Preparedness</th>
<th>Preparedness ensures that if disaster occurs, individual citizens, volunteer groups, communities, businesses, and governments are prepared to deal with the event safely and respond to it effectively. Each entity must prepare by developing a contingency plan in the event essential services break down, and practicing the plan on a regular basis with key partners. Developing good working relationships with disaster response organizations and sister agencies in advance of an event is essential.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Response Phase</td>
<td>Response begins as soon as a disaster is detected or threatens. It involves mobilizing and positioning emergency equipment; getting people out of danger; providing needed food, water, shelter and medical services; and bringing damaged services and systems back online. Local responders, government agencies and private organizations take action. When the destruction goes beyond local and state capabilities, federal assistance is requested. The duration of the response phase varies according to the event. Traditional emergency responders want to move from response to recovery as quickly and safely as possible. Emergency responders work with political leaders to determine an appropriate transition timeframe and announcement. The response phase took several weeks in NYC as the WTC rubble was thoroughly sifted for survivors. Usually, when all efforts to find survivors have been exhausted, an operation moves into a recovery.</td>
</tr>
<tr>
<td>Recovery Phase</td>
<td>The task of rebuilding after a disaster can take months, even years. Not only services, infrastructure, facilities and operations, but also the lives and livelihoods of many thousands of people may be affected. Federal loans and grants can help. Funds are used to rebuild homes, businesses and public facilities, to clear debris and repair roads and bridges, and to restore water, sewer and other essential services. Research shows that psychological interventions may be needed from three to five years following the impact.</td>
</tr>
</tbody>
</table>
I. Medical Directors: Clinical & Services Recommendations

A. Pre-Event Preparedness Recommendations

1. **Mental Health Outreach.** There are several mental health response models and no “one size fits all” approach. A mental health response crafted from best practices appropriate to the impacted community is ideal. Review the Federal Emergency Management Agency (FEMA) Crisis Counseling Assistance and Training Program (CCP) model that has been customized by SMHAs in Oklahoma City and in the 9/11 response (New York, Washington, D.C., Virginia, Connecticut, and New Jersey). Also, review crisis models practiced by the American Red Cross and the National Organization of Victim’s Assistance and their best practices to tailor a program that works for the impacted community.

2. **Surge Capacity.** Prepare for surge capacity in hospitals, for morgue space in alternate sites such as warehouses, and transitional office space in other buildings such as vacant state hospitals.

3. **Substance Abuse.** SMHAs should develop strategies to support their current and more traditional population with co-occurring disorders while enhancing capacities to respond to substance abuse issues in the general population. Ensure monitoring of stress levels with consumers and train and cross-train with the substance abuse authority on terrorism and disaster issues.

4. **Stigma.** Mental Health professionals should address stigma as most terror events are not caused by the mentally ill.

5. **Medical Directors should remain visibly close to the Commissioner** during meetings and press conferences to add support and clinical credibility. Use Medical Director to provide public with information about direct and psychological impacts of the event.

6. **Building Relationships.** It is also important to build relationships as detailed in Table 5.

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6 More information on the CCP appears elsewhere in this document as part of the resource listing for the Emergency Mental Health Services and Traumatic Stress Services Branch at the Center for Mental Health Services.
### Table 5. SMHA Medical Director Relationships to Foster Pre-Event

<table>
<thead>
<tr>
<th>Organization</th>
<th>SMHA Medical Director Action</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Hospitals</strong></td>
<td>Medical Directors should be available to advise and assist emergency rooms and crisis centers regarding a) mental health triage best practices, b) medication treatment of acute anxiety/agitation, c) evaluation of psychosomatic responses to biological/nuclear threats. The Medical Director can also do a grand rounds presentation at the local medical school on the psychological impact of terrorism.</td>
</tr>
<tr>
<td><strong>Medical Societies and Professional Organizations</strong></td>
<td>Commissioners and/or Medical Directors should link with the state medical society as well as the individual specialty organizations such as psychiatrists, pediatricians, family physicians, internists, psychologists, social workers, school counselors, school nurses. Discuss and develop a volunteer list and a protocol to pre-screen, certify, train and deploy mental health volunteers. Review licensure and liability issues for both in-state and out-of-state mental health professions. As of this writing, the CDC is investigating the use of out-of-state providers to assist in the health response to bioterror events (i.e. smallpox vaccinations.).</td>
</tr>
<tr>
<td><strong>Private Benefit Plans and Employee Assistance Programs (EAP)</strong></td>
<td>Offer the Medical Director’s expertise to provide trainings to EAP staff on the psychological impact of terrorism.</td>
</tr>
<tr>
<td><strong>Public/Academic Links</strong></td>
<td>Work with academics to devise needs assessment and evaluation tools for SMHA programs as well as to design and seek funding for critically needed disaster research.</td>
</tr>
</tbody>
</table>

### B. Response Phase Recommendations

1. Medical Directors should continue to remain visibly close to the Commissioner during meetings and press conferences to add support and clinical credibility.

2. Use mental health workers to assist in basic needs, medical services, and outreach services. Do not separate; instead, incorporate mental health with other services. Embrace the philosophy of “therapy by walking around.”

3. Conduct surveillance of state hospitals. Assess and ensure adequate staff, medications, bed availability, etc.

4. Review HIPAA implications and legal guidance on medical records created or needed.

5. Understand the National Pharmaceutical Stockpile (NPS) which is part of the Strategic National Stockpile (SNS). Work with your State’s Public Health Agency to ensure coordination of NPS medications to SMHA facilities and programs. To date, no psychopharmacological drugs are kept in the NPS. SMHA facilities traditionally maintain less than a one-week supply. Some states are investigating memorandums of understanding with pharmaceutical companies as well as emergency procurement procedures to ensure access to psychotropic drugs. Devise methods to get meds to your patients, including methadone for those running substance abuse services. See the Glossary of Terms, attachment D, for more information on the NPS.
6. Be educated on drugs used to treat bioterrorism and how they mimic psychiatric issues. Refer to “Psychiatric Aspects of Biological Agents” discussed earlier in this publication. Also, research shows that consumers deal fairly well with disasters and terrorism. Stress reactions in consumers can manifest in ways that mimic exacerbation of psychiatric illness (such as agitation, hyper arousal, sleep disturbance, etc.). Clinicians should evaluate whether anti-anxiety drugs are the only medication needed to address such symptoms during the initial stages of an event and avoid “medicalizing” such typical stress responses.

7. Do not over-medicalize transient normal reactions as most persons will not have long-term impairment (Hoge et al., 2002).

8. Help people to exercise caution in TV exposure. Although people need accurate information, continuous, anxious speculation about the unknown or endless repeated images of violence are probably harmful and contribute to a sense of helplessness.

9. Prioritize interventions within a hierarchy of needs. Whenever possible interventions should be provided to groups of persons with prior and on-going social connections. The key components of early intervention are noted in Table 6.
Table 6. Key Components of Early Intervention

<table>
<thead>
<tr>
<th>Intervention</th>
<th>Key Components</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Basic Needs</strong></td>
<td>Provide survival, safety, and security</td>
</tr>
<tr>
<td></td>
<td>Provide food and shelter</td>
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<tr>
<td></td>
<td>Orient survivors to the availability of services and support</td>
</tr>
<tr>
<td></td>
<td>Communicate with family, friends, and community</td>
</tr>
<tr>
<td></td>
<td>Assess the environment of ongoing threats</td>
</tr>
<tr>
<td><strong>Psychological First Aid</strong></td>
<td>Protect survivors from further harm</td>
</tr>
<tr>
<td></td>
<td>Reduce psychological arousal</td>
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<tr>
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<td>Mobilize support for those who are most distressed</td>
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<td>Keep families together and facilitate reunions with loved ones</td>
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<td>Provide information and foster communication and education</td>
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<td>Use effective risk communication techniques</td>
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<tr>
<td><strong>Needs Assessment</strong></td>
<td>Assess the current status of individuals, groups, and/or populations and</td>
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<td>institutions/systems. Ask how well needs are being addressed, what the</td>
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<td>recovery environment offers, and what additional interventions are needed.</td>
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<tr>
<td><strong>Rescue and Recovery</strong></td>
<td>Observe and listen to those most affected</td>
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<tr>
<td><strong>Environment Observation</strong></td>
<td>Monitor the environment for toxins and stressors</td>
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<td>Monitor past and ongoing threats</td>
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<td>Monitor services that are being provided</td>
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<td>Monitor Media coverage and rumors</td>
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<tr>
<td><strong>Outreach and Information Dissemination</strong></td>
<td>Offer information/education and “therapy by walking around”</td>
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<td>Use established community structures</td>
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<td>Distribute flyers</td>
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<td>Host Web sites</td>
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<td></td>
<td>Conduct media interviews and programs and distribute media releases</td>
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<td>(National Institute of Mental Health, 2002)</td>
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</table>

10. During the response phase, be prepared to treat a continuum of disorders, including:

- **Emotional distress.** Persons receiving medical treatment or who require no medical treatment may or may not be emotionally distressed. Non-distressed individuals may be discharged with education and reassurance. Distressed persons may need further intervention such as rest, reassurance, education and support. Place these people where disruptive behaviors can be monitored in a location removed from high-tempo triage activity but close enough to the main emergency room to allow access to further medical treatment, if required. Often, reassurance, support and rest are enough to diminish the emotional distress (Lacy & Benedek, 2003). In addition, it is best to screen and triage individuals in groups. Observe group members during information events, as numbness and social withdrawal is more predictive of impairment than need for services from anxiety and insomnia (Lacy & Benedek, 2003).

- **Medically unexplained symptoms.** It is important to recognize that when dealing with bioterrorism, Weapons of Mass Destruction (WMD) and outbreaks of medically unexplained physical symptoms (MUPS), lengthy incident scene investigations and elaborate searches for the offending agent may worsen and prolong the event. The
group should be informed about the scope of the problem and the role of the offending agent should be minimized. Leaders should be calm, authoritative, supportive, and non-confrontational. Individuals should be separated to minimize the spread of symptoms by sight and sound. Repetitive questioning about symptoms and use of language suggestive of infection or exposure should be avoided. The treatment of MUPS is more problematic. An empathetic, supportive, and collaborative stance combined with minimizing unnecessary medical tests and procedures is key (Lacy & Benedek, 2003).

- **Anxiety and insomnia.** Benzodiazepines like lorazepam, clonazepam, or diazepam may be used for severe anxiety or insomnia. Patients treated with benzodiazepines should be cautioned about sedation and possible impairment in driving and decision-making. β-blockers such as propranolol and α-agonists such as clonidine may be useful for decreasing autonomic arousal as a result of their antiadrenergic activity. Trazodone, zolpidem, or zaleplon are often the preferred choices for insomnia because they preserve sleep architecture (Lacy & Benedek, 2003).

- **Group Debriefings.** Ensure that the group is composed of persons linked socially via work or prior friendships rather than grouping merely by geographical proximity at the time of the scheduled debriefing. Reduce individual isolation and foster group cohesion with an open and frank discussion among care providers or persons concerned with the well being of participants. Focus on “what happened” by creating a cognitive historical narrative of the event. Participants should be allowed to express their feelings if they choose and those feelings should be supported. *Any attempt to extract the real or underlying emotions is strongly discouraged.* Those with prior abusive experience, minimal ability to regulate affect, limited ego-functioning, or serious preexisting mental illness may be harmed by being forced to participate in highly emotional, mandatory debriefings (Lacy & Benedek, 2003).

- **Do not offer EMDR** or therapy consisting of detailed one-on-one recounting of events and emotions (National Institute of Mental Health 2002).

C. Recovery Phase Recommendations

**Recovery Interventions.** Recovery interventions should be administered like early interventions in that there should be a prioritized hierarchy of needs and delivery within community groups (National Institute of Mental Health, 2002).

1. **Technical Assistance, Consultation and Training**
• Improve capacity of organizations and caregivers to provide what is needed to re-
establish community structure, foster family recovery and resilience, and safeguard
the community.
• Provide assistance, consultation, and training to relevant organizations, other
caregivers and responders, and leaders.

2. Fostering Resilience and Recovery
• Foster resilience and recovery by fostering social interactions; providing coping skills
training; providing education on stress responses, traumatic reminders, coping,
normal vs. abnormal functioning, risk factors, and services; offering group and family
interventions; fostering natural social supports; looking after the bereaved; and
repairing the organization fabric.

3. Triage
• Conduct clinical assessments, using valid and reliable methods
• Refer when indicated
• Identify vulnerable, high-risk individuals and groups
• Provide for emergency hospitalization

4. Treatment
• Reduce or ameliorate symptoms or improve functioning via individual, family and
group psychotherapy; pharmacotherapy; and short- or long-term hospitalization.

5. Follow-Up
• Many survivors experience some symptoms in the immediate aftermath of a traumatic
event. These symptoms are not necessarily cause for long-term follow-up because, in
most cases, they will eventually remit. Survivors of traumatic events who do not
manifest symptoms after approximately two months generally do not require follow-
up. However, they should receive follow-up if they request it. The following
individuals and groups are at high risk of developing adjustment difficulties post-
event: (1) Persons who have ASD or other clinically significant symptoms stemming
from the trauma; (2) Bereaved persons; (3) Individuals who require medical or
surgical attention; and (4) Those whose exposure to the event was particularly intense
and of long duration (National Institute of Mental Health, 2002).

6. Develop Ways for People to be Part of the Recovery Effort
• Facilitate or support community group events to memorialize and heal. Organize self-
assistance to decrease sense of isolation and helplessness, and rebuild social
connections.

7. Promote Resilience
• Although many agree that this is important, there are the questions of how to
effectively promote resilience and what tools to use. The workshop experts discussed
the importance of people having a recovery role following an event but what is that
role? Specifically, develop guidelines on “what do we do ‘if’?”    Remind the
community to go about their daily lives, keep their schedules, participate in their regular activities, etc.
II. SMHA Commissioners and Senior Staff: Leadership & Organizational Recommendations

A. Pre-Event Preparedness

Build Relationships Now. Use this document for discussions with key agency and disaster leaders in your service area. Specifically make contact with the agencies in Table 7 to explain the psychological impacts of terrorism and the appropriate roles for SMHAs. Also, survey these organizations’ expectations of Public Mental Health during critical events:

<table>
<thead>
<tr>
<th>Organization</th>
<th>SMHA Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Emergency Management Agency (SEMA)</td>
<td>Invite a SEMA representative to brief SMHA leadership on Incident Command System or check in with <a href="http://www.fema.gov">www.fema.gov</a> for an online tutorial. Participate and offer mental health expertise for SEMA trainings and exercises.</td>
</tr>
<tr>
<td>Public Health</td>
<td>Ensure mental health is involved in their surveillance efforts. Explain the mental health impact of terrorism to their director and ensure that their crisis and risk communication strategies address the mental health impact of their information and messages. Check out <a href="http://www.cdc.gov">www.cdc.gov</a> and <a href="http://www.bt.cdc.gov">www.bt.cdc.gov</a> for additional general public health information as well as content specific to bioterrorism. Educate Public Health on the up-to-date roles of SMHA state hospitals as assumptions are often made based on history. Discuss the reality of bed-availability, medical stockpiles, etc. SMHAs should work with their Public Health leaders to coordinate services and obtain funds for behavioral health preparedness. Offer to assist Public Health in dealing with the anxiety of persons who are at risk that they contact during data collection and surveillance efforts. Consider inserting Mental Health terror issues via the licensing function. Work with Public Health on a quarantine plan. Promote pre-event coordination among SMHAs, Public Health and Substance Abuse authority to clarify roles, risk messages and areas for collaboration as well as patterns for referral.</td>
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<tr>
<td>State Homeland Security</td>
<td>Talk to them on the mental health impact of terror. How are they linked with SEMA? What is the chain of command and integration between the two agencies?</td>
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<tr>
<td>American Red Cross (ARC), Salvation Army, National Voluntary Agencies Active in Disaster (NVOAD)</td>
<td>Salvation Army and NVOAD should have a representative/liaison to SEMA. Ensure you know that person and how your SMHA can collaborate with these groups.</td>
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<tr>
<td>Substance Abuse and Mental Retardation/Developmental Disabilities</td>
<td>If your SMHA does not coordinate these services, be sure to partner with the state agency that does. Work with FEMA and CMHS to provide customized brochures and have substance abuse information available in advance.</td>
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<tr>
<td>Domestic Violence Agencies</td>
<td>Include them in emergency planning, meetings and trainings.</td>
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<tr>
<td>Advocacy Groups such as NAMI (National Alliance for the Mentally Ill) and National Mental Health Association (NMHA)</td>
<td>Involve these groups in planning and programming. Work with the advocacy community to incorporate disaster and substance abuse issues into their meetings. NMHA has excellent disaster and terrorism fact sheets available on their Web site at <a href="http://www.nmha.org">www.nmha.org</a>.</td>
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<tr>
<td>Organization</td>
<td>SMHA Action</td>
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<tr>
<td><strong>Medical Societies and Professional Organizations</strong></td>
<td>Commissioners and/or Medical Directors should link with the state medical society as well as the individual specialty organizations such as psychiatrists, pediatricians, family physicians, internists, psychologists, social workers, school counselors, school nurses, etc.</td>
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<td>Discuss and develop a volunteer list and a protocol to pre-screen, certify, train and deploy volunteers</td>
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<td>Review licensure and liability issues for both in-state and out-of-state mental health professions. As of this writing, the CDC is investigating the use of out-of-state providers</td>
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<td><strong>Education</strong></td>
<td>Commissioners should contact the State Commissioner of Education and encourage linkage among community mental health centers and local school districts</td>
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<td>SMHAs may want to link their Web sites with those containing information on working with children during disasters/terrorism. Youth crisis hotline numbers should be promoted</td>
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<td>SMHAs can offer in-service trainings to teachers on stress management and provide “fact sheets for families” on typical psychological responses to be sent home with students</td>
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<td><strong>First Responders</strong></td>
<td>Develop personal relationships with first responders assigned to jurisdictions with SMHA facilities and office buildings</td>
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<td>Provide them with information on the psychological impact of terrorism and explain the SMHA role and resources</td>
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<td>Be trained in the Incident Command System</td>
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<td>Partner with these groups on training and exercises as appropriate</td>
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<tr>
<td><strong>State Attorney General’s (AG) Office</strong></td>
<td>The SMHA should work with the State Attorney General’s Office to understand what role mental health should play in the state and how to coordinate with the services of National Organization of Victims’ Assistance (NOVA) if they are called in to assist with the psychological impact of an event that is also a crime</td>
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<tr>
<td><strong>Hospitals</strong></td>
<td>Medical Directors should be available to advise and assist emergency rooms and crisis centers regarding a) mental health triage best practices, b) medication treatment of acute anxiety/agitation, c) evaluation of psychosomatic responses to biological/nuclear threats</td>
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<td>The Medical Director can also do a grand rounds presentation at the local medical school on the psychological impact of terrorism</td>
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<td><strong>Private Benefit Plans and Employee Assistance Programs (EAP)</strong></td>
<td>Offer your medical director’s expertise to provide trainings to EAP staff on the psychological impact of terrorism</td>
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<tr>
<td><strong>Public/Academic Links</strong></td>
<td>Work with academics to devise needs assessment and evaluation tools for your programs as well as to design and seek funding for critically needed disaster research</td>
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<tr>
<td><strong>Agriculture Department</strong></td>
<td>Know that Agriculture will be frontline for bioterrorism attacks on livestock and crops</td>
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<td>Use Extension Services to obtain needs assessment information and/or to deliver public education to rural communities and groups</td>
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<tr>
<td><strong>Faith Community</strong></td>
<td>SMHA should include these groups to educate and train on topics such as disaster metal health, stress-management, substance abuse as well as vicarious traumatization and compassion fatigue. Procedures for referral for more formalized mental health services should be covered</td>
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<td>Explore the capabilities of Interfaith groups to assist with the unmet needs of victims/survivors</td>
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<tr>
<td><strong>Miscellaneous Community Organizations and Not-For-Profits</strong></td>
<td>Train leaders at existing youth organizations on how to talk with children in age-appropriate ways and how to identify children who may need additional support due to increased stress in the home</td>
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</table>

38 State Mental Health Authorities’ Response to Terrorism
Understand and, when possible, enhance the State Mental Health Authority’s disaster and terrorism response infrastructure. One way of doing so is to ensure that the State Mental Health Authority is on the call-down list for disaster/critical event notification by the Governor’s Office and the State Emergency Management Agency. Also ensure that you have the names and numbers of contact people at the various agencies in case you need to report an event or situation under your jurisdiction.

Know the Emergency/Disaster Coordinator in your agency. Each SMHA should have an assigned, internal disaster coordinator. A few states (e.g., Texas, California) have a full-time employee while others have personnel assigned this responsibility as a percentage of their time.

Ensure the Emergency/Disaster Coordinator has access to the Mental Health Commissioner, is a trusted representative of the agency’s director and senior management, and has the right leadership traits for the position. The person should be creative, flexible, able to function in chaos under stressful conditions and often with ambiguous direction, a good delegator and communicator, diplomatic and confidant, and familiar with the application process for the FEMA Crisis Counseling Program. Ideally this person has attended the CCP training held at FEMA’s national training campus in Emmitsburg, MD, is media savvy, and an excellent networker and negotiator.

Build internal and external relationships. Internally, develop a disaster/crisis team and delineate responsibilities. Most SMHAs have a disaster coordinator who will have lead responsibility for grant applications and program management. Also, include public affairs staff, general counsel, medical director plus representatives from psychiatric services, substance abuse and MRDD, if your agency provides such services. Externally, remember that it is often too late to develop relationships during a traumatic event. SMHAs should reach out early and often to develop collaborative partnerships. Check egos and work closely with SAMHSA and FEMA. The State should assist the impacted locality and not dictate. The same is true of the Federal relationship to the State. Generally speaking, the Commissioner fosters relationships at the agency director level and is the direct link to the Governor/Mayor. The disaster coordinator works with frontline disaster response agency representatives and other groups involved in disaster mental health outreach, education programs, and services. The medical director is lead in working with health and medical representatives. Other agency representatives may be assigned a lead responsibility such as General Counsel or Chief of Staff. Carefully match SMHA representatives with expected responsibilities and personal work style. See Table 7 for the list of groups with which to partner.

Understand Mental Health’s responsibility as outlined by the State Emergency Management Agency (SEMA) emergency operations plan (EOP) and as detailed in your agency’s all-hazards plan. The SEMA EOP details the primary and secondary roles of each state agency. In addition to mental health’s responsibilities in that document, there will also be a
plan at the SMHA detailing the agency’s role and response in dealing with employees and service delivery to existing clients as well as the newer role of public psychological first aid. The SMHA Disaster Coordinator should be able to brief the Commissioner and Medical Director on these roles and responsibilities. These two documents should be integrated and consistently exercised and updated.

**Commit to all-hazards planning.** Be sure that all services and special populations have been considered in the SMHA’s all-hazards plan. Not all SMHAs coordinate addiction services, forensic services, or services for citizens with mental retardation/development disabilities. Be sure that these services have been addressed and coordination agreed upon with the sister agency during the preparedness stage. In addition, ensure services and fact sheets are readily available to deal with issues specific to children and adolescents; the elderly; immigrant, refugee and migrant populations; hearing and/or visually impaired; racial/ethnic minorities; mental health consumers and other groups as identified. It is also important to understand risk communications.

**Know what support services may be available through NASMHPD.** The Commissioners and Medical Directors who responded to 9/11, Oklahoma City, the Columbine High School shootings and a host of other critical events may be available to discuss lessons learned and provide insights into dealing with the situation in your state. Also, during the early stages of 9/11, NASMHPD screened all volunteer offers for the NY State Office of Mental Health. Contact NASMHPD at 703-739-9333 to determine what role they may play for your agency during critical times.

**Develop mutual aid agreements within the state/jurisdictions and with neighboring states/jurisdictions to provide consistency and continuity of services.** Management of donated goods is a huge issue. Check with SEMA regarding expertise in your state to handle this.

**Understand your State procurement system and make provisions for acquiring materials and services during critical events.** Pre-identify situations and procurement requirements. Can you buy what you need or accept donations directly? One state worked with their Emergency Management Agency on an emergency procurement plan where $1 million in credit was made available to support all state agencies with a pre-identified list of potential needs for each agency on record with the SEMA. In response to 9/11, one state found it difficult to accept $1 million in emergency funds from the President as there was no mechanism to do so. Eventually, they used a private foundation to accept and administer these funds.

**Conduct regular resource review.** Link with the Disaster Technical Assistance Center (DTAC) for regular review of disaster literature and information on grants and workshops that may be funded through SAMHSA, FEMA, Department of Justice, CDC, etc. Contact DTAC, 7735 Old Georgetown Road, Suite 400, Bethesda, MD 20814, 800-308-3515 DTAC@esi-dc.org

**Conduct and participate in exercises to test all-hazards plans in response to WMD, bioterrorism and terrorism scenarios.** Participate in local/state exercises and training opportunities with SEMA, Public Health, Education, American Red Cross, and other groups as
identified above. Ensure that SMHA hospitals, community mental health centers and MH administration participate. Such preparedness efforts and clarification of roles pre-event allow for a more effective psychology response during an actual event.

B. Response Phase

Get your agency involved in external/internal consultation and assessment. Begin by determining if a formal mental health response is appropriate. Is it time to activate and deploy crisis response teams or other specialized groups? Is the SMHA providing expected and appropriate services to the impacted groups and regions? Is the SMHA supporting disaster leaders (Governor, Mayor, SEMA, etc.) as anticipated/expected and have mental health staff been assigned to those offices? How are SMHA employees faring? Has the daily mission of the organization been impacted? Are SMHA leadership communications to staff clear and do they provide the information needed by the workforce?

Work with SEMA and the Governor’s Office to determine if the State will apply for a Presidential Disaster Declaration. Funding for a FEMA crisis counseling program should be considered when going forward for a Presidential Disaster Declaration. Understand the parameters of the program.

Keep records of all resources expended so you can be reimbursed as well as prove that you spent and obligated funds appropriately. Start tracking your expenditures from the day you begin consultation and assessment as you may be reimbursed for these costs. Remember that FEMA provides clear guidelines on how the CCP funds may be used. Be aware that Federal auditors may review your program and this possibility increases the larger the funding amount and the higher the media attention.

Work with your disaster coordinator and check in on the response priorities outlined in your agency’s all-hazards plan. Be sure to link with the Emergency Management Agency and provide staff as agreed to at the Emergency Operations Center and other locations.

Cross-utilize staff from other agencies when possible. For example, nurses and other health professionals from Public Health, social workers from Department of Social Services, etc.

Contact NASMHPD for support services that may be available at 703-739-9333. Develop more materials to help citizens recover, be stronger, and promote resilience.

Be high profile, calm, and caring. Be out and be seen in your organization to offer support and instill confidence in your employees. Work closely with the Governor’s Office and State Emergency Management Agency.

Inform other professional organizations/medical agencies/clinical groups/other state agencies on SMHA role and ongoing assessment.
Work with the Public Affairs staff on the public information and education messages you want to provide such as the consequences of specific bioterrorist agents, what to do for children, etc. Provide flyers and Web site links.

Anticipate the following:

- **Unrequested volunteers.** They will begin calling the office and arriving on the scene almost immediately. Assign someone to deal with them and take names and numbers. Ideally, you will have pre-screened, trained, and organized a cadre of local volunteer professionals pre-disaster.
- **People want something to do.** Have a list of small but meaningful tasks for employees/volunteers.
- **FEMA, CHMS, Red Cross, Salvation Army and other “sanctioned” disaster organizations will show up soon.**
- **Overdedication of management and staff.** Devise shift limits and promote stress management and physical wellbeing. Most people pull together and function following an event but their effectiveness is diminished. Rest is critical to prevent burnout.
- **Flexibility & creativity in response.** When TWA Flight 800 crashed outside of New York City in 1999, the recreation therapists had the most sought-after skills among the many mental health staff available. Families had come from across the country and overseas to wait for word regarding what caused the event. Be prepared to be flexible and creative to meet the needs of those impacted by the event.

C. Recovery

**Be sure to obtain and organize funding, resources, staff and planning for the long-term.** Current research shows that the psychological impact may continue for 3-5 years. One-third of those directly impacted will have ongoing PTSD and/or depression and 10% of persons in the vicinity of the event will have ongoing PTSD and/or depression. Think long-term to ensure adequate services and staffing.

Ensure that the Mental Health Commissioner or trusted representative is sitting at the table when charitable contributions are dispersed. Funding for long-term mental health care may be needed and usually donations are the only source for treatment. In Oklahoma City, the Red Cross continued to pay for mental health services for persons during 2002, more than seven years post-event.

Advocate for an increase in community Mental Health capacity and understand the impact of anniversary dates. Research shows that mental health and substance abuse issues surge around the six-month and annual anniversary dates of the event. Other triggers include memorial events, trials, etc.
Medical Directors should remain visibly close to the Commissioner during meetings and press conferences to add support and clinical credibility.

III. Recommendations to NASMHPD

NASMHPD can be a critical resource for SMHAs grappling with disaster management. Therefore, it is important for NASMHPD to synthesize its role and continue to develop resources. For instance, NASMHPD should develop guidelines on how to credential, pre-screen, and train volunteer mental health professionals who offer their services during critical times. There is a clear need for a national credentialing system, and a mechanism for obtaining advice on liability and licensure issues, particularly for out-of-state professionals.

Additionally, screening and compiling the best public information handouts on terrorism would be helpful. Work with the Disaster Mental Health Technical Assistance Center, if appropriate.

NASMHPD should also facilitate member-to-member technical consultation. Several commissioners remain involved with the 9/11 response. Their lessons learned and best practices are essential to those dealing with terrorism issues. Other examples of recommended information sharing include state-to-state expertise of NASMHPD’s other groups including the children’s division, legal division (including licensing, HIPAA, liability, scope of practice), procurement issues ($1 million from President to NY State through Research Foundation), forensic (evacuation issues), etc.

NASMHPD could also advocate for:

- **Integration of Federal Efforts.** Several agencies are involved in terrorism response including Homeland Security (which now houses FEMA), SAMHSA, the Health Resources and Services Administration (HRSA), and CDC, to name a few. All training, consultation and education to the states should be coordinated to ensure streamlined services and effectiveness of Federal dollars. Encourage a system where State Departments collaborate on programs with their Federal and State partners rather than compete for program funding.

- **Behavioral health measures and surveillance.** Public Health is at the forefront of terrorism response and it is critical that Public Health include behavioral health measures in their surveillance efforts. Work with the Public Health national associations and government entities to make this happen.

- **Longer-term funding for disaster mental health services.** Research and experience show that the psychological impact of terrorist acts is much greater than natural disasters, with some victims requiring services 3-5 years past the event. The FEMA model provides funding for short-term crisis counseling services. Treatment funds adjunct to the FEMA funds could be explored through Health and Human Services (HHS) and other Federal agencies as identified.
• **Research funds.** Research regarding the mental health impact of disasters and terrorism is greatly needed. It took more than 20 years for FEMA to approve funds for program evaluation. The workgroup suggests that the best source for disaster/terrorism mental health research may be through the National Institute of Mental Health.

• **Preparedness funds.** Since 9/11, preparedness efforts in mental health response have become even more warranted, but there is no long-term funding. Explore with FEMA the possibility of preparedness funding and review the Texas Department of Mental Health’s partnership with their SEMA to leverage funding for preparedness, staff and other disaster related needs.
Key Agencies and Behavioral Health Programs in Terrorism Response

It is imperative that SMHAs become familiar with the Federal and State agencies and national organizations involved in terrorism response. Pre-existing relationships are essential during times of crisis and even more so when responding to terrorist events. Information regarding these terrorism and disaster response agencies, their roles, and programs is provided below. This chapter begins with an overview of the Federal Department of Homeland Security and highlights partner organizations with a behavioral health component. Specifics on how to link and partner with these organizations are addressed in Table 7.

I. Department of Homeland Security

http://www.dhs.gov/dhspublic/index.jsp

In the aftermath of the September 11, 2001, terrorist attacks, the Federal government coordinated 22 previously disparate domestic agencies into one department to protect the nation against threats to the homeland. The creation of the Department of Homeland Security (DHS), effective March 1, 2003, is the most significant transformation of the U.S. government since 1947, when Harry S. Truman merged the various branches of the U.S. Armed Forces into the Department of Defense to better coordinate the nation's defense against military threats. Besides providing a better-coordinated defense of the homeland, DHS is also dedicated to protecting the rights of American citizens and enhancing public services, such as natural disaster assistance and citizenship services, by dedicating offices to these important missions. The agencies guided by the Department of Homeland Security are housed in one of four major directorates as listed in Table 8.

Table 8. Department of Homeland Security Organization

<table>
<thead>
<tr>
<th>Directorate</th>
<th>Focus</th>
<th>Agencies</th>
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<tbody>
<tr>
<td>Border and Transportation Security</td>
<td>To coordinate major border security and transportation operations</td>
<td>The U.S. Customs Service (Treasury)</td>
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<td></td>
<td></td>
<td>The Immigration and Naturalization Service (part) (Justice)</td>
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<td>The Federal Protective Service (GSA)</td>
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<td></td>
<td></td>
<td>The Transportation Security Administration (Transportation)</td>
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<td>Federal Law Enforcement Training Center (Treasury)</td>
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<td></td>
<td></td>
<td>Animal and Plant Health Inspection Service (part) (Agriculture)</td>
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<td>Office for Domestic Preparedness (Justice)</td>
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<tr>
<td>Emergency Preparedness and Response</td>
<td>To oversee domestic disaster preparedness training and coordination of government disaster response</td>
<td>The Federal Emergency Management Agency (FEMA)</td>
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<td>Strategic National Stockpile and the National Disaster Medical System (HHS)</td>
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<td>Nuclear Incident Response Team (Energy)</td>
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<td>Domestic Emergency Support Teams (Justice)</td>
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</tbody>
</table>
Other DHS agencies include the Secret Service and the Coast Guard which report directly to the Secretary of Homeland Security. In addition, the Immigration and Naturalization Service (INS) adjudications and benefits programs report directly to the Deputy Secretary as the Bureau of Citizenship and Immigration Services.

To find the homeland security leaders in each state, access http://www.whitehouse.gov/homeland/states/ne.html

### II. Federal Emergency Management Agency (FEMA)

**www.fema.gov**

FEMA is now housed in the Department of Homeland Security. More than 2,600 full time employees are based at FEMA headquarters in Washington D.C., at regional and area offices across the country, at the Mount Weather Emergency Operations Center, and at the FEMA training center in Emmitsburg, MD. FEMA also has nearly 4,000 standby disaster assistance employees who are available to assist after disasters. With responsibilities ranging from advising on building codes and flood plain management to coordinating the federal response to a disaster, FEMA’s role is tied to the life cycle of disasters. The disaster life cycle describes the following process for emergency managers:

- **prepare** for emergencies and disasters;
- **respond** to them when they occur;
- help people and institutions **recover** from them;
- **mitigate** their effects;
- **reduce the risk** of loss; and
- **prevent** disasters such as fires from occurring.
Often FEMA works in partnership with other organizations that are part of the nation's emergency management system. These partners include state and local emergency management agencies, 27 Federal agencies and the American Red Cross.

Local and State governments share the responsibility for protecting their citizens from disasters, and for helping them to recover when a disaster strikes. In some cases, a disaster is beyond the capacity of the State and local government to respond. The Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended (the Stafford Act) was enacted to support State and local governments and their citizens from overwhelming disasters. This law establishes a process for requesting and obtaining a Presidential Disaster Declaration, defines the type and scope of assistance available under the Stafford Act, and sets the conditions for obtaining this assistance. A detailed explanation of the disaster declaration process is found in the Glossary of Terms, Attachment D.

Federal disaster assistance available under a major disaster declaration falls into three general categories. However, not all programs are activated for every disaster.

- **Individual Assistance (IA)**—aid to individuals, families, and business owners. The Crisis Counseling Program is provided through IA.
- **Public Assistance (PA)**—aid to public (and certain private non-profit) entities for certain emergency services and the repair or replacement of disaster-damaged public facilities.
- **Hazard Mitigation Services**—funding for measures designed to reduce future losses to public and private property. In the event of a major disaster declaration, all counties within the declared State are eligible to apply for assistance under the Hazard Mitigation Grant Program.

Some declarations will provide only individual assistance or only public assistance. Hazard mitigation opportunities are assessed in most situations. The Crisis Counseling Training and Assistance Program (CCP) is available through individual assistance. See the following section on Emergency Services and Disaster Relief Branch for more details.

**III. State Emergency Management Agency (SEMA)**

Every state has an agency linked to FEMA to coordinate disaster response at the state and local level. Every State Emergency Management Agency has an overall Emergency Operations Plan (EOP) detailing the primary and secondary roles of each state agency. The FEMA Web site also lists the SEMA office and contact information in each state.
IV. The Centers for Disease Control and Prevention (CDC)

A new mission for CDC is to strengthen local, state, and national public health capacity to respond to growing threats from biological and chemical terrorism. Traditionally, CDC is recognized as the lead Federal agency for protecting the health and safety of people (at home and abroad), providing credible information to enhance health decisions, and promoting health through strong partnerships. CDC serves as the national focus for developing and applying disease prevention and control, environmental health, and health promotion and education activities designed to improve the health of the people of the United States. CDC, located in Atlanta, is an agency of the Department of Health and Human Services.

V. State Public Health

“The science and art of preventing disease, prolonging life and promoting health and efficiency through organized community effort.” —Winslow, 1920

“Fulfilling society’s interest in assuring conditions in which people can be healthy.” —Institute of Medicine Report, 1988

“A collective effort to identify and address the unacceptable realities that result in preventable and avoidable health outcomes, and it is the composite of efforts and activities that are carried out by people committed to these ends.” —Turnock, 1997

The definition of Public Health has changed over the years. Historically, Public Health’s primary roles have been to: (1) collect data and conduct surveillance rather than provide treatment to the general population; and (2) reduce risk factors using campaigns targeting diseases such as sexually transmitted diseases (STDs) and tuberculosis. The health of the entire population is addressed, focusing more on the individual and seldom on the full family. In general, these individuals may be seen as conditions and defined as diabetics, HIV patients, etc. Just as with SMHAs, there is tremendous variability in the states’ Public Health systems.

Today, Public Health is perceived as the leader in bioterrorism response with funding for their programs at an all-time high while the remainder of their department budgets decline. Federal funding sources for Public Health bioterrorist programs include Agriculture, Education, Health and Human Services, SAMHSA, Centers for Disease Control, private foundations, etc. Rarely do these funds or programs consider or address the behavioral health consequences of terrorism. Fortunately, this is changing due to proactive SMHAs who team with their Public Health colleagues on terrorism response. Clearly, cross-training of, and collaboration between, Public Health and Mental Health employees makes sense. There is a deep personnel pool of Public Health nurses and educators as well as certified counselors to potentially assist with public education and counseling during critical events. SMHA staff can assist with data collection and surveillance as well as ensure Public Health programs and messages are reviewed to address their mental health impact.
VI. Strategic National Stockpile (SNS)

Beginning in March 2003, the Department of Homeland Security (DHS) began overseeing the Strategic National Stockpile. As part of the SNS, the Centers for Disease Control continues to administer the National Pharmaceutical Stockpile (NPS) Program (http://www.bt.cdc.gov/stockpile/). The NPS mission is to ensure the availability of life-saving pharmaceuticals, antidotes and other medical supplies and equipment necessary to counter the effects of nerve agents, biological pathogens, and chemical agents. The NPS program stands ready for immediate deployment to any U.S. location in the event of a terrorist attack using a biological, toxin, or chemical agent directed against a civilian population. The NPS is comprised of pharmaceuticals, vaccines, medical supplies, and medical equipment that exist to augment depleted state and local resources for responding to terrorist attacks and other emergencies. These packages are stored in strategic locations around the U.S. to ensure rapid delivery anywhere in the country. Psychotropic medications are not a part of the NPS. For more details, see the Glossary of Terms, Attachment D, or visit www.bt.cdc.gov for specific information on NPS and CDC’s role in terrorism response.

VII. Emergency Mental Health and Traumatic Stress Services Branch (EMHTSSB) within SAMHSA/CMHS

www.mentalhealth.org

Currently, SAMHSA is the key Federal partner for the psychological response to Presidentially Declared Disasters and crisis counseling programs in response to terrorism. The EMHTSSB is funded through an interagency agreement with FEMA and CMHS to ensure that victims of Presidentially Declared Disasters receive immediate, short-term crisis counseling, as well as ongoing support for emotional recovery. CMHS collaborates with FEMA to train State mental health staff to develop crisis counseling training and preparedness efforts in their States. The EMHTSSB historically responds to natural disasters but has also been involved with Oklahoma City and 9/11 programs as these events received the Presidential Disaster Declaration.

EMHTSSB coordinates the Crisis Counseling Assistance and Training Program (CCP), authorized by §416 of the Stafford Act. The CCP is designed to provide supplemental funding to States for short-term crisis counseling services to people affected by Presidentially Declared Disasters. There are two separate portions of the CCP that can be funded: immediate services and regular program. A State may request either or both types of funding. The immediate services program is intended to enable the State or local agency to respond to the immediate mental health needs of disaster victims with screening, diagnostic, and counseling techniques, as well as outreach services such as public information and community networking. The regular program is designed to provide up to nine months of

When designing and delivering mental health services for the impacted area, know the community social context. Specifically, identify socially isolated and alienated groups, issues of family instability and conflict, literacy levels, and damage to social supports.
crisis counseling, community outreach, and consultation and education services to people affected by a Presidentially Declared Disaster. Funding for this program is separate from the immediate services grant. To be eligible for crisis counseling services funded by this program, the person must be a resident of the designated area or must have been located in the area at the time the disaster occurred. The person must also have a mental health problem that was caused by or aggravated by the disaster or its aftermath, or he or she must benefit from services provided by the program. For more information contact: EMHTSSB/CMHS/SAMHSA, 5600 Fishers Lane, Parklawn Building, Room 17C-20, Rockville, MD, 20857, 301-443-4735 (p) 301-443-8040 (f) www.mentalhealth.org

SAMHSA also has funding opportunities known as Emergency Regulations Grants. These funds come from a 2.5% reserve of the overall SAMHSA budget and may be used for events that exceed the state capacity but do not qualify as a Presidentially Declared Disaster. For example, Rhode Island received funding for services following a nightclub fire in 2003 where 100 people died and 167 people were injured. These funds are broad-based and may be used for treatment, hospitalization, medications, and case management. For more information, contact the Emergency Management Coordinator, Office of Policy, Planning and Budget, SAMHSA, 5600 Fishers Lane, Parklawn Building, RM 12C-05, Rockville, MD 20857 301-443-6213 301-443-7590 (f).

EMHTSSB presently funds a Disaster Mental Health Technical Assistance Center (DTAC) for the states that can provide materials development, meeting support, and other technical assistance. Contact DTAC, 7735 Old Georgetown Road, Suite 400, Bethesda, MD 20814, 800-308-3515 www.mentalhealth.samhsa.gov/dtac/

**VIII. National Child Traumatic Stress Network (NCTSN)**

[www.NCTSNet.org](http://www.NCTSNet.org)

In October 2001, SAMHSA established the NCTSN to improve the mental health and well being of children and families who have experienced traumatic events. The NCTSN is composed of 36 centers across the country and is led by the National Center for Child Traumatic Stress (NCCTS), which is co-located at the University of California—Los Angeles (UCLA) and Duke University Medical Schools. The Terrorism and Disaster Branch of the National Center is dedicated to enhancing the national capacity to plan and respond to terrorism and disaster on behalf of the mental health of youth and their families. Incorporating the extensive experience and expertise from across the network, the Terrorism and Disaster Branch has launched a Rapid Response Support Team (RRST) capable of providing consultation before or after diverse types of mass casualty events. Services include:

- consultation with federal, state, and local agencies regarding child and family mental health issues in preparedness, mitigation, response, and recovery for mass casualty events;
- in-house staff with worldwide expertise in addressing terrorism and disaster;
• reach back to more than 100 professionals trained in traumatic stress studies and the 36-
center network resources across the United States; and
• single point of contact for access/response coordination, 24 hours/7days at 800-759-2380.

IX. American Red Cross (ARC)
www.redcross.org

In 1905, the Red Cross was chartered by Congress—not as a government agency, but as disaster
relief organization. Through its network of chapters, service delivery units, and national
headquarters operations, the ARC provides disaster relief services to people affected by disasters
24 hours per day, 365 days of the year. Red Cross disaster relief services are free and focus on
meeting people's immediate emergency disaster-caused needs. Two of the most visible and well-
known of Red Cross disaster relief activities are sheltering and feeding. Other services include
health and first-aid, provision of blood and blood products, and assisting out-of-area relatives in
determining the well being of their family member. The Red Cross responds to natural disasters,
airline accidents, and terrorist events.

ARC Disaster Mental Health Services workers are licensed mental health practitioners trained to
recognize the disaster’s emotional impact on victims/survivors as well as on disaster workers.
They offer information and help educate people on the emotional impacts of disasters and how to
cope with them. ARC is committed to short-term assistance only. It is imperative that the SMHA
coordinate with them so that when ARC leaves, the community recognizes that ongoing mental
health resources are available. Be aware that ARC authority emphasizes local control. The power
of the local and state lead chapter and their relationship with the national ARC office varies
across the country. Some SMHAs have developed memoranda of understanding (MOUs) with
the local chapter that have not been sanctioned by National Red Cross and vice versa, which
could cause confusion. MOUs are very useful, but should comply with both systems regulations.

X. National Voluntary Organizations Active in Disaster
(VOAD)
www.nvoad.org

On July 15, 1970, representatives of seven voluntary organizations signaled their desire to work
together in times of disaster by forming the National Voluntary Organizations Active in Disaster
(NVOAD). NVOAD coordinates planning efforts by many voluntary organizations responding
to disasters. Member organizations provide more effective service and less duplication in service
by getting together before disasters strike. Once disasters occur, NVOAD or an affiliated state
VOAD encourages members and other voluntary agencies to convene on site. This cooperative
effort has proven to be the most effective way for a wide variety of volunteers and organizations
to work together in a crisis. NVOAD has more than 35 national partners including the Salvation
Army, the American Red Cross and other not-for-profit and/or faith-based groups such as
Catholic Charities, Mennonite Disaster Services, and World Church Services, to name a few.
These groups do an exceptional job of identifying unmet needs among disaster victims and maximizing available resources for such needs.

**XI. State Attorney General’s (AG) Office**

There is often a state victims’ assistance and compensation group affiliated with the State Attorney General’s Office that receives funding through the Department of Justice (DOJ) Office of Victims of Crime (OVC). The Anti-Terrorism Act, enacted after the bombing of the Murrah Building in Oklahoma City, allows the OVC to use federal funds to serve victims of terrorism and mass violence. Often the National Organization of Victims Assistance (NOVA) is requested (by the AG’s Office or other entity) to provide counseling services following events that are also a crime scene. NOVA relies heavily on the services of local volunteers (of whom most have completed a 40-hour crisis counseling course), and a national volunteer corps of practitioners from fields as diverse as criminal justice, social services, the military, clergy, medicine, and mental health. In addition to those practitioners, who perform training and technical assistance on a wide array of victim-related topics, NOVA’s National Crisis Response Team database houses in excess of 2,000 volunteer crisis responders. NOVA is committed to the continued involvement of volunteers from the community who are concerned about crime victims. Often their services are funded throughout the legal proceedings.
References


North, C. S., Pfefferbaum, B., & Tucker, P. (2002). Ethical and methodological issues, etc. *CNS Spectrums*, 7:8; 580-84. See also [www.ncptsd.org/research/pilots/Thesaurus2.html](http://www.ncptsd.org/research/pilots/Thesaurus2.html)


In addition to the information above, the following documents were read by reviewers prior to the 2003 Medical Directors Council report meeting to inform the discussion.


Kettl, P., Bixler, E. (2002, November). Terrorism and people with mental illness. Psychiatric Services, 53(11):1475. (note: this is a letter to the editor signed by John A. Pandini, Ph.D. and Steven M. Banks, Ph.D. Lit search has Kettl and Bixler as authors).


Attachments
A. List of Meeting Participants

NASMHPD Medical Directors
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Alexandria, Virginia

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B. Additional Reading

The following publications may contribute to the reader’s understanding of the psychological impact of terrorism and contribute to a comprehensive planning effort.

*Editor’s note:* Some of the following resource list was prepared by the Disaster Mental Health Technical Assistance Center, Educational Services, Inc., under contract with the Emergency Services and Disaster Relief Branch of SAMHSA/CMHS for the Mental Health All-Hazards Disaster Planning Guidance. In addition, many references were added by the editors, thanks in part to the assistance of the Missouri Institute of Mental Health.


C. Web Sites

Bioterrorism Information

**Centers for Disease Control**

*Anthrax*

[www.cdc.gov/od/oc/media/qa.htm](http://www.cdc.gov/od/oc/media/qa.htm)

Anthrax Update, Webcast and text (requires RealPlayer for video)

[www.bt.cdc.gov/Agent/Anthrax/Anthrax.asp](http://www.bt.cdc.gov/Agent/Anthrax/Anthrax.asp)

Facts about Anthrax

**Disaster Epidemiology**

[www.cdc.gov/nceh/emergency/disasterepidemiology/default.htm](http://www.cdc.gov/nceh/emergency/disasterepidemiology/default.htm)

**National Pharmaceutical Stockpile (NPS) Program**

[www.bt.cdc.gov](http://www.bt.cdc.gov)

The NPS is comprised of pharmaceuticals, vaccines, medical supplies, and medical equipment to augment depleted state and local resources for responding to terrorist attacks and other emergencies.

**U.S. Food and Drug Administration**

[www.fda.gov/cber/cntrbio/cntrbio.htm](http://www.fda.gov/cber/cntrbio/cntrbio.htm)

Countering bioterrorism

**U.S. National Library of Medicine**


MEDLINE Plus Biodefense and Bioterrorism

**U.S. Postal Service**


Safety and security of the mail

Children

**American Academy of Child & Adolescent Psychiatry**

[www.aacap.org/publications/factsfam/disaster.htm](http://www.aacap.org/publications/factsfam/disaster.htm)

Includes several fact sheets on helping children after a disaster.

**The Child Advocate**
www.childadvocate.net/help_children_cope.htm
Printable booklet developed by the Penn State University Pediatric Trauma team to help parents and professionals deal with disaster and related issues. Can be used in schools, clinics and other settings

FEMA
www.fema.gov/kids/tch_mntl.htm
A checklist to assess a child’s mental health status, following a disaster or traumatic experience

International Center to Heal Our Children
www.dcchildrens.com/about/abt5a_mn.asp
The vision of the International Center to Heal Our Children is to help foster, promote and maintain the emotional health of our children who are traumatized psychologically, secondary to acts of violence, disasters, or terrorism. Provides several online publications on the emotional responses of children to disasters.

National Center for Children Exposed to Violence at the Yale Child Study Center
www.nccev.org
There is a link to Children and Terrorism that also has fact sheets on how parents and teachers can talk to kids.

Elderly

Administration on Aging (AOA): Disaster Assistance Resources
www.aoa.dhhs.gov/disaster/default.htm
Links to Web-based resources for older persons, their families and caregivers—including preparedness and grants.

www.aoa.gov/aoa/disaster/recovery.html
For older persons who have been affected by a disaster—including information about receiving financial assistance including Small Business Administration (SBA) loans. Available in English and Spanish.

General Information on Disaster Response, Terrorism and Trauma

American Psychological Association

APA Online: Disaster Response Network
www.apa.org/practice/dmindex.html
A free mental health service to disaster victims and relief workers.

Help Center: Managing Psychological Stress
http://helping.apa.org/daily/traumaticstress.html
Tips for recovering from disasters and other traumatic events and a list of additional resources and referrals.

**American Red Cross Disaster Services**  
[www.redcross.org/services/disaster](http://www.redcross.org/services/disaster)

**Ask NOAH About Dealing with Traumatic Events**  
Resources that address the many issues related to disaster and mental health. This site is maintained by New York Online Access to Health (NOAH), a partnership of four New York partners including The City University of New York (CUNY).

**Casey Family Programs, National Center for Resource Family Support**  
[www.casey.org/cnc/support_retention/terrorism_and_trauma.htm](http://www.casey.org/cnc/support_retention/terrorism_and_trauma.htm)  
The National Center for Resource Family Support is one-stop source of information, technical assistance, written materials and referrals to both resource families and child welfare professionals who work with them. Look at the section on Terrorism and Trauma.

**Centers for Disease Control (CDC)**  
[www.cdc.gov](http://www.cdc.gov)

**Center for Mental Health Services (CMHS)**  
[www.mentalhealth.org](http://www.mentalhealth.org)  
Look for the link to the Emergency Mental Health and Traumatic Stress Services Branch.

**CrisisWeb**  
[www.crisisweb.org/](http://www.crisisweb.org/)  
This is the Web site of the International Crisis Group, a private, multinational organization committed to strengthening the capacity of the international community to anticipate, understand and act to prevent and contain conflict. Offers news and reports on unstable situations in various countries around the world.

**Department of Homeland Security (DHS)**  
[www.dhs.gov/dhspublic/index.jsp](http://www.dhs.gov/dhspublic/index.jsp)  
The lead Federal agency inaugurated March 1, 2003 that combines 22 previously disparate domestic agencies into one department to protect the nation. The agencies are housed under four directorates: Border and Transportation Security, Emergency Preparedness and Response; Science and Technology, and Information Analysis and Infrastructure Protection.

**Federal Emergency Management Agency (FEMA)**  
[www.fema.gov](http://www.fema.gov)  
International Society for Traumatic Stress Studies
www.istss.org/
Provides a forum for the sharing of research, clinical strategies, public policy concerns and theoretical formulations on trauma. Dedicated to the discovery and dissemination of knowledge and to the stimulation of policy, program and service initiatives that seek to reduce traumatic stressors and their immediate and long-term consequences.

National Center for Post-Traumatic Stress Disorder
www.ncptsd.org

National Institutes of Mental Health

Coping with Traumatic Events
www.nimh.nih.gov/outline/traumatic.cfm?ls=20

Facts about Posttraumatic Stress Disorder (PTSD)
www.nimh.nih.gov/anxiety/ptsdfacts.cfm
A general overview of posttraumatic stress disorder. Contains facts about onset, symptoms, and the latest research.

Relieving Trauma
www.nimh.nih.gov/publicat/reliving.cfm
A summary of posttraumatic stress disorder (PTSD) that includes statistics, treatment, and research findings

Mood and Anxiety Disorders Program
http://intramural.nimh.nih.gov/mood/
NIMH Web site on current research projects on mood and anxiety disorders. Offers information about symptoms, diagnosis and treatment of mental illnesses (including medication and mental illness).

National Mental Health Association
http://www.nmha.org
The National Mental Health Association is the country's oldest and largest nonprofit organization addressing all aspects of mental health and mental illness. Site contains excellent disaster fact sheets.

Substance Abuse Mental Health Services Administration (SAMHSA)
www.samhsa.gov
SAMHSA is the Federal agency charged with improving the quality and availability of prevention, treatment, and rehabilitative services in order to reduce illness, death, disability, and cost to society resulting from substance abuse and mental illnesses. There are three centers: Center for Mental Health Services, Center for Substance Abuse Prevention and Center for Substance Abuse Treatment.

World Health Organization (WHO)
This page on the WHO Web site is the online English version of “Mental Health in Emergencies: Mental and Social Aspects of Health Populations Exposed to Extreme Stressors.”

Public Health

American Public Health Association
www.apha.org/public_health/state.htm
Find state and local health departments with which to link.

Centers for Disease Control
www.bt.cdc.gov
Public Health emergency preparedness and response for bioterrorism.

Food and Drug Administration
www.fda.gov/oca/sthealth.htm
Links to state and local health departments.

Spanish

FEMA en Espanol
www.fema.gov/spanish/
Agencia Federal para el manajo de emergencies.
D. Glossary of Terms

**CBRNE**: Acronym for weapons of mass destruction: Chemical, Biological, radiological, Nuclear and high-yield explosives.

**Crisis Counseling**: The Crisis Counseling Assistance and Training Program (CCP), authorized by §416 of the Stafford Act, is designed to provide supplemental funding to States for short-term crisis counseling services to people affected by Presidentially declared disasters. There are two separate portions of the CCP that can be funded: immediate services and regular program. A State may request either or both types of funding. The **immediate services** program is intended to enable the State or local agency to respond to the immediate mental health needs of disaster victims with screening, diagnostic, and counseling techniques, as well as outreach services such as public information and community networking. The **regular program** is designed to provide up to nine months of crisis counseling, community outreach, and consultation and education services to people affected by a Presidentially Declared Disaster. Funding for this program is separate from the immediate services grant. To be eligible for crisis counseling services funded by this program, the person must be a resident of the designated area or must have been located in the area at the time the disaster occurred. The person must also have a mental health problem that was caused by or aggravated by the disaster or its aftermath, or he or she must benefit from services provided by the program.

**Disaster Cycle**: The disaster life cycle describes the process through which emergency managers prepare for emergencies and disasters, respond to them when they occur, help people and institutions recover from them, mitigate their effects, reduce the risk of loss, and prevent disasters such as fires from occurring.

- **Preparedness**: Preparedness ensures that if disaster occurs, people are ready to get through it safely, and respond to it effectively. Whether you're an individual citizen, a volunteer group or a government agency, preparedness means figuring out what you'll do if essential services break down, developing a plan for contingencies, and practicing the plan.

- **Response**: Begins as soon as a disaster is detected or threatens. It involves mobilizing and positioning emergency equipment; getting people out of danger; providing needed food, water, shelter and medical services; and bringing damaged services and systems back on line. Local responders, government agencies, and private organizations take action. Sometimes the destruction goes beyond local and state capabilities. That's when federal help is needed as well.

- **Recovery**: The task of rebuilding after a disaster can take months, even years. Not only services and infrastructure, not only the facilities and operations, but the lives and livelihoods of many thousands of people may be affected. Federal loans and grants can help. Funds are used to rebuild homes, businesses and public facilities, to clear debris and repair roads and bridges, and to restore water, sewer, and other essential services. Research shows that psychological interventions may be needed from three to five years following the impact.
• **Mitigation:** Mitigation is the cornerstone of emergency management. It's the ongoing effort to lessen the impact disasters have on people's lives and property through damage prevention and flood insurance. Through measures such as building safely within the floodplain or removing homes altogether; engineering buildings and infrastructures to withstand earthquakes; and creating and enforcing effective building codes to protect property from floods, hurricanes and other natural hazards, the impact on lives and communities is lessened.

• **Risk Reduction:** Viewed broadly, risk reduction is the goal of all mitigation efforts. FEMA reduces the cost and damage of flood disasters through the Federal Insurance Administration (FIA). The FIA partners with national insurance companies to provide affordable flood insurance, available nationwide. Communities become eligible for such insurance by enforcing floodplain management practices. As communities require individuals and businesses to comply with these guidelines, the risk of damage and injury is reduced.

• **Prevention:** Not all emergencies and disasters can be prevented. But there are some that can. For example, most chemical explosions and hazardous materials spills can be prevented. Many fires are also preventable, and fire prevention is an important objective of the United States Fire Administration (USFA). USFA engages in many activities to encourage fire prevention. Examples of its most important prevention activities are to educate the public on what to do to prevent fires (“install smoke detectors,” “use space heaters safely”) and help the fire and emergency medical services (EMS) providers conduct their own public education efforts.

**The Disaster Declaration Process:** The Stafford Act (§401 and 501) requires that: “All requests for a declaration by the President that a major disaster or emergency exists shall be made by the Governor [chief executive] of the affected State.” A State also includes the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, and the Republic of the Marshall Islands. The Governor's request is made through the regional FEMA office. State, local, and Federal officials conduct a preliminary damage assessment (PDA) to estimate the extent of the disaster and its impact on individuals and public facilities. The information gathered during the PDA documents the severity and magnitude of the event and is included in the Governor's request. Normally, the PDA is completed prior to the submission of the Governor's request. However, when an obviously severe or catastrophic event occurs, the Governor's request may be submitted prior to the PDA. Nonetheless, the Governor must still make the request and damage assessments are still conducted.

Based on the Governor’s request, the President may declare that a major disaster or emergency exists, thus activating an array of Federal programs to assist in the response and recovery effort. The determination of which programs are activated is based on the needs found during the joint preliminary damage assessment and any subsequent information that may be discovered. Federal disaster assistance available under a major disaster declaration falls into three general categories. However, not all programs are activated for every disaster.

• **Individual Assistance** (IA): Aid to individuals, families and business owners. The crisis counseling program is provided through this.
• **Public Assistance:** Aid to public (and certain private non-profit) entities for certain emergency services and the repair or replacement of disaster-damaged public facilities.

• **Hazard Mitigation Services:** Funding for measures designed to reduce future losses to public and private property. In the event of a major disaster declaration, all counties within the declared State are eligible to apply for assistance under the Hazard Mitigation Grant Program. Some declarations will provide only individual assistance or only public assistance. Hazard mitigation opportunities are assessed in most situations.

**Emergency Mental Health and Traumatic Stress Services Branch (EMHTSSB) within SAMHSA/CMHS:** Through an interagency agreement with the Federal Emergency Management Agency (FEMA), the Center for Mental Health Services (CMHS), via the Emergency Mental Health and Traumatic Stress Services Branch (EMHTSSB), supports immediate, short-term crisis counseling, and ongoing support for emotional recovery for the victims of disasters. Natural and terrorist disasters may result in human trauma requiring specialized attention. In the wake of such disasters, the need for crisis counseling is just as important as cleaning up debris and reconstructing property.

Qualifying Federal disasters include severe storms, forest fires, and incidents of mass criminal victimization. Support is provided in the form of grants to States for counseling outreach within Federal disaster areas and for the delivery of training to crisis counselors to provide crisis assistance after Federal relief workers return home. The program is known as the Crisis Counseling Assistance and Training Program (CCP) and is funded by (FEMA). On behalf of FEMA, CMHS provides technical assistance, program guidance and oversight. The services most frequently funded by CCP grants are: crisis counseling, education, and referral to appropriate agencies or mental health professionals.

After the declaration of a disaster, States determine the need for crisis counseling services by compiling disaster data and conducting a mental health needs assessment of the disaster area. The State Mental Health Authorities (SMHA) must assess key indicators of disaster stress and determine the geographic, social, cultural, ethnic, and vulnerable populations for whom services should be provided. If existing State and local resources cannot meet the needs of those populations, the SMHA may choose to apply for a Crisis Counseling grant. Only a State or Federally-recognized Indian Tribe may apply for a CCP grant. States receiving these grants typically distribute the Federal funds to local mental health providers in order to hire additional staff to perform outreach and education on typical stress reactions and methods of reducing stress.

Supplemental funding for crisis counseling grants is available to SMHAs through two grant mechanisms: (1) the Immediate Services Program (ISP) which provides funds for up to sixty days of services immediately following a disaster declaration; and (2) the Regular Services Program (RSP) which provides funds for up to nine months following a disaster declaration. While CMHS provides some technical assistance to Immediate Service Programs, the monitoring and distribution of funds remains the responsibility of FEMA. For the RSP, FEMA has
designated CMHS as the monitoring authority; FEMA transfers funds to CMHS, which transfers the funds to the States.

CMHS collaborates with FEMA to train State mental health staff to develop crisis counseling training and preparedness efforts in their States. Through an annual training, CMHS provides updates on the design and implementation of its crisis counseling projects and promotes State-to-State information exchange. CMHS also has developed numerous publications on working with, and supporting, disaster victims. All are available through SAMHSA's National Mental Health Information Center, by calling 1-800-789-2647; (TDD) 1-866-889-2647. For more information contact: EMHTSSB/CMHS/SAMHSA, 5600 Fishers Lane, Parklawn Building, Room 17C-20, Rockville, MD, 20857, 301-443-4735 (p) 301-443-8040 (f) www.mentalhealth.org

First Responders: Traditionally defined as Fire, Police, and Emergency Medical Services (EMS).

Incident Command System (ICS): A management and process tool developed in California for use in complex disasters involving more than one jurisdiction, agency or response group. Originally used by First Responders to determine leadership and management structure in complicated disasters. All agencies responding to disasters should be trained in ICS. There is an online tutorial available at www.fema.gov

Mass Casualty Event: More than 1,000 residents residing in all 21 counties in New Jersey died in the World Trade Center attack. There was no local explosion or visible damage. These citizens simply disappeared from their communities, their cars remained at the train stations, they failed to pick up their children from daycare or school and they never walked through the front door of their homes again. They were victims of a mass casualty event as the horror unfolded at a specific point in time and the physical destruction ended within a few hours.

Mass Exposure Event: Consider the anthrax exposures through the Brentwood Postal Facility in Washington, D.C. and the Hamilton Postal Facility in New Jersey and their impact on the workers and communities are examples of mass exposure events.

National Pharmaceutical Stockpile (NPS) Program: Part of the Strategic National Stockpile (SNS). The mission of CDC's National Pharmaceutical Stockpile (NPS) Program is to ensure the availability of life-saving pharmaceuticals, antidotes and other medical supplies and equipment necessary to counter the effects of nerve agents, biological pathogens and chemical agents. The NPS Program stands ready for immediate deployment to any U.S. location in the event of a terrorist attack using a biological, toxin, or chemical agent directed against a civilian population. The NPS is comprised of pharmaceuticals, vaccines, medical supplies, and medical equipment that exist to augment depleted state and local re-sources for responding to terrorist attacks and other emergencies. These packages are stored in strategic locations around the U.S. to ensure rapid delivery anywhere in the country.

Following the federal decision to deploy, the NPS will typically arrive by air or ground in two phases. The first phase shipment is called a 12-hour Push Package. “12” because it will arrive in 12-hours or less, “push” because a state need only ask for help—not for specific items, and
“package” because the Program will ship a complete package of medical materiel—to include nearly everything a state will need to respond to a broad range of threats. Also available are inventory supplies known as Vendor Managed Inventory, or VMI packages. VMI packages can be tailored to provide pharmaceuticals, vaccines, medical supplies and/or medical products specific to the suspected or confirmed agent or combination of agents.

A CDC team of five or six technical advisors will also deploy at the same time as the first shipment. Known as a Technical Advisory Response Unit (TARU), this team is comprised of pharmacists, emergency responders, and logistics experts that will advise local authorities on receiving, distributing, dispensing, replenishing, and recovering NPS materiel. For more information about the National Pharmaceutical Stockpile, contact the NPS Program at 404-639-0459.

**WMD:** Weapons of Mass Destruction.
E. SMHA All-Hazards Planning Worksheet